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**ESTUDO TECTONO-SEDIMENTAR DA BACIA DE PELOTAS**

São Leopoldo, 25 de março de 2022.

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“It actually doesn’t take much to be considered a difficult woman. That’s why there are so many of us.”

Jane Goodal,

National Geographic

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## Apresentação

Esta tese foi elaborada na forma de dois manuscritos científicos conforme as normas do Programa de Pós-Graduação em Geologia da Universidade do Vale do Rio dos Sinos (PPGGeo - Unisinos). O trabalho é apresentado através de Resumo e Abstract seguido de quatro capítulos: I. Introdução à Tese, II. Manuscrito 1, III. Manuscrito 2, IV. Síntese Integradora.

O capítulo I, Introdução à Tese, contextualiza o tema desenvolvido ao longo do projeto de doutorado. Este capítulo contém uma introdução geral da bacia, os objetivos, problema central e motivação, bem como os materiais e métodos que conduziram aos resultados. Todos os itens abordados neste capítulo contemplam de forma sucinta o que é apresentado nos manuscritos dos capítulos seguintes.

O capítulo II é composto pelo Manuscrito 1, o qual foi submetido ao periódico científico *Global and Planetary Change* e está em processo de revisão, sob o título: “*From the Andes and the Drake Passage to the Rio Grande Submarine Fan: paleoclimatic and paleogeographic evidence in the Cenozoic Era from the South Atlantic – Austral Segment, Pelotas Basin*”. É um trabalho em escala regional com foco na evolução tectono-sedimentar da Bacia de Pelotas durante o Cenozoico. Neste estudo foi possível diferenciar influências alóctones na Bacia de Pelotas associadas à tectônica da Cordilheira Andina e a mudanças climáticas conectadas à Passagem de Drake durante o Cenozoico. Adicionalmente, estabeleceu-se a interação entre as placas tectônicas Sul Americana, Antártica e Nazca como um agente de controle sobre as influências alóctones supracitadas.

O capítulo III compreende o Manuscrito 2, o qual será submetido ao periódico científico *Marine and Petroleum Geology* sob o título “*Overpressure modelling in the Rio Grande Submarine Fan, Pelotas Basin: the case study of a gravitational fold and thrust belt in the South*

*Atlantic Brazilian Margin*". O objeto central é o Cone de Rio Grande (CRG), uma feição fisiográfica específica da Bacia de Pelotas, com foco na investigação quantitativa, através de modelagem numérica, de sobrepressão. Dentre os resultados, destaca-se a quantificação da sobrepressão na região do CRG, a qual já era especulada na literatura prévia ao explicar o arranjo estrutural complexo decorrente de colapso gravitacional sobre uma superfície de descolamento. Isso implicou em abordar fenômenos divididos como autóctones e alóctones ao sistema: taxa de sedimentação, geração de hidrocarbonetos, tectônica regional e argilominerais.

O capítulo IV trata-se da síntese integradora da tese. Este capítulo contém as principais considerações finais e conclusões obtidas no decurso do projeto de doutorado.



## Resumo

A Bacia de Pelotas é a bacia mais a sul da margem brasileira, resultado da ruptura do Gondwana. Possui uma área de cerca de 250.000 km<sup>2</sup>, limitada a norte pelo Alto de Florianópolis (Santa Catarina, Brasil) e a sul pelo Alto Polônio (no Uruguai). A espessura atinge até 12 km do Barremiano ao Recente. É uma bacia singular no Atlântico Sul por fatores como a presença de Seward Dipping Reflectors de grande espessura e, comparada com outras bacias da margem, é ainda considerada depletada em hidrocarbonetos. Por tratar-se de uma bacia com especificidades genéticas (estruturais e estratigráficas), diferente de outras bacias marginais brasileiras, é necessária a geração de novos dados, análise e reinterpretação de dados já existentes. A presente tese de doutorado está comprometida com os aspectos estratigráficos da bacia. Os dois manuscritos apresentados aprofundam os estudos sobre o preenchimento e compartimentação estrutural da bacia. Esta tese utilizou sísmicas e poços da Agência Nacional do Petróleo, Gás Natural e Biocombustíveis (ANP) do Brasil e os softwares Petrel versões 2017, 2018, 2020, desenvolvidos pela Schlumberger e TemisFlow Petroleum System Software versão 2019, desenvolvido pelo Instituto Francês do Petróleo e BeicipFranlab – Consultoria em Óleo e Gás. A tese foi desenvolvida através de interpretação sísmica, backstripping, análise da taxa de espalhamento do Atlântico Sul e modelagem numérica 2D de bacia. Os resultados aqui atingidos identificaram a influência da tectônica da Cordilheira Andina sobre a sedimentação da Bacia de Pelotas na forma de pulsos de soerguimento, bem como a influência climática do alargamento da Passagem de Drake nos depósitos a partir do Oligo-Mioceno através do registro de correntes de contorno. Discriminou-se como raiz destas influências a interação entre as placas tectônicas Sul Americana, Antártica e Nazca. Adicionalmente, foi comprovada de forma inédita existência de sobrepressão no Cone de Rio Grande, bem como identificadas as suas causas como alta taxa de sedimentação, espesso pacote de folhelhos subcompactados e transformação de esmectita em illita.

## Abstract

The Pelotas Basin is the southernmost basin on the Brazilian margin, resulting from the Gondwana breakup. The basin covers an area of 250,000 km<sup>2</sup>, limited to the north by the Alto Florianópolis (Santa Catarina, Brazil) and to the south by the Alto Polonio (Uruguay). Its thickness reaches up to 12 km from the Barremian to the Recent. It is a unique basin in the South Atlantic when compared to other basins of the same margin due to the presence of a very thick package of seawarddipping reflectors and the lack of known hydrocarbon reserves. However, it is still considered hydrocarbons depleted compared to other basins on the margin. Since it is a basin with genetic specificities (structural and stratigraphic), different from other Brazilian marginal basins, it was necessary to generate new data and analyze and reinterpret existing data. The present doctoral thesis is committed to the stratigraphic aspects and comprises studies on the basin filling and structural compartmentalization. The data base includes seismic and wells from the Brazilian National Agency for Petroleum, Natural Gas and Biofuels (ANP). This data was analyzed in the Petrel software versions 2017, 2018, 2020, developed by Schlumberger, and TemisFlow Petroleum System Software version 2019, developed by the French Petroleum Institute and BeicipFranlab – Oil and Gas Consultancy. The studies consisted of seismic interpretation, backstripping, South Atlantic spreading rate analysis, and 2D numerical basin modeling. The results allowed to identify the Andean Cordillera tectonic influence on the Pelotas Basin sedimentation as uplift pulses and identify the climatic influence of the Drake Passage widening from the Oligo-Miocene through the contour currents record. The interaction between the South American, Antarctic, and Nazca tectonic plates was identified as the root of these influences. Additionally, overpressure in the Rio Grande Submarine Fan was well constrained for the first time by numerical modeling, and its causes were identified, including high sedimentation rate, a thick package of subcompacted shales, and smectite-illite transformation.

## I. Introdução à Tese

### I.1 Caracterização Geral da Bacia de Pelotas

A bacia de Pelotas é uma bacia singular no Atlântico Sul. Um dos fatores é a presença basal de espessas cunhas dominadas por rochas ígneas extrusivas de riftes vulcânicos conectada à Grande Província Ígnea Paraná-Entedeka. Estas cunhas recebem o nome de Seaward Dipping Reflectors (SDR) (Fontana, 1996, Stica et al., 2014). Se comparada a outras bacias marginais brasileiras a Bacia de Pelotas até o momento é depletada em hidrocarbonetos líquidos (Bueno et al., 2007). No entanto há indícios especulativos de outros potenciais sistemas petrolíferos convencionais e fontes não convencionais de hidrocarbonetos para a Bacia de Pelotas. É o caso de folhelhos organógenos turonianos e paleocênicos que se apresentam como potencial rocha geradora da bacia, além dos horizontes de hidrato de gás (Rosa, 2007).

A Bacia de Pelotas é a bacia mais a sul da margem brasileira, com uma área de cerca de 250.000 km<sup>2</sup>, limitada ao norte pelo Alto de Florianópolis (Santa Catarina, Brasil) e ao sul pelo Alto Polônio (no Uruguai) (Stica et al., 2014) (Fig. 1). Assim como a Margem passiva da América do sul, é uma bacia originada pela ruptura do Gondwana. Sua espessura atinge até 12 km do Barremiano ao Recente (Fig. 2).

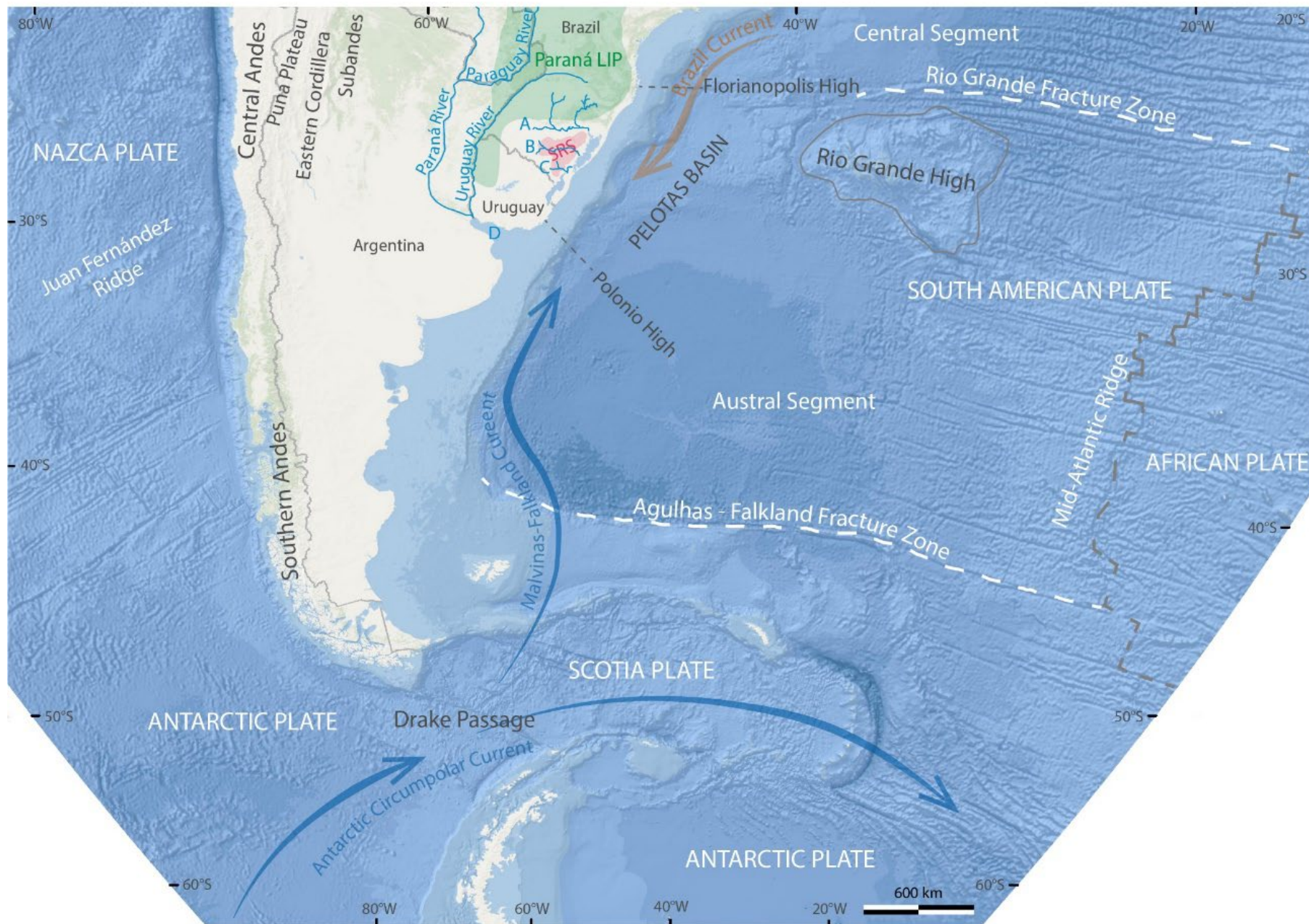


Figura 1. Esta figura compõe o Manuscrito 1, como “Figure 1. Map of southern South America showing the main structures on land and in the southern Atlantic Ocean and the location of the Pelotas Basin with its northern and southern limits. Areas: SRS = Sul-Riograndense Shield; Rivers: A = Jacuí; B = Camaquã; C = Piratini; D = Rio de la Plata. Fracture zones and sub-basins according to Stica et al. (2014) and Serrat (2021). Ocean currents according to Stramma and England (1999), Laprida et al. (2011) and Santos et al. (2017).”

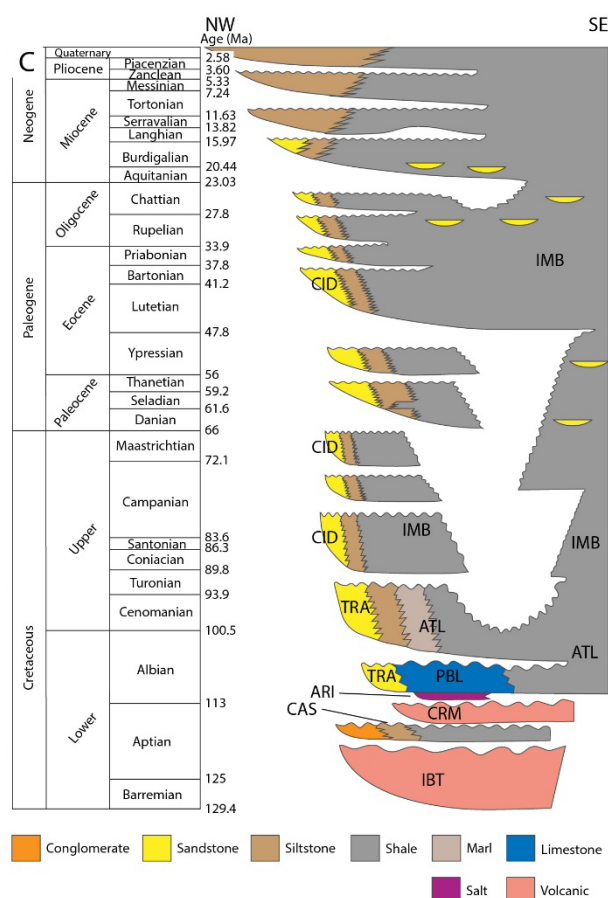


Figura 2. Esta figura compõe o Manuscrito 2, como “Figure 1C) Chronostratigraphic chart of the Pelotas Basin adapted from Bueno et al. (2007). Formations: IBT = Imbituba, CAS = Cassino, CRM = Curumim, ARI = Ariri, PBL = Porto Belo, TRA = Tramandaí, ATL = Atlântida, CID = Cidreira, IMB = Imbé.

O preenchimento da bacia começa quando lavas basálticas subaéreas cobrem o embasamento de crosta continental estendida, os SDR (Abreu, 1998). A sedimentação inicial da bacia ocorre na fase sin-rifte que cobre os SDR. Esta fase consiste em associações de fácies

aluviais e lacustrinas compostas por conglomerados, arenitos e siltitos interacamadados. Acima deste pacote, ocorrem cunhas resultantes de extrusões vulcânicas submarinas, que consistem em uma segunda fase de SDR (Abreu, 1998, Bueno et al, 2007) (Fig. 2).

A fase drifte inicia na transição entre Aptiano e Albiano e se estende até o presente (Fontana, 1996). O registro Cenozoico da Bacia de Pelotas é composto por depósitos de ambiente costeiros dominados por leques clásticos que gradam distalmente para folhelhos e siltitos marinhos. A partir do Oligoceno-Mioceno são registrados leques clásticos progradantes sobre depósitos distais, que consiste e uma grande cunha sedimentar regressiva no formada Neógeno. Neste contexto de aumento do aporte sedimentar é registrada a formação de uma feição conhecida como Cone de Rio Grande, ou Leque Submarino de Rio Grande, a qual ainda possui em discussão aspectos referentes a sua gênese. (Abreu, 1998, Bueno et al., 2007, Rosa, 2007, Chemale et al, 2021).

### I.1.1 Localização e Compartimentação Tectônica

A costa leste da América do Sul é uma margem passiva, originada pela ruptura do Gondwana. Regionalmente é dividida em três segmentos separados por zonas de fraturaoceânicas: Equatorial, Central e Austral (Fig. 1). A Bacia de Pelotas pertence ao segmento Austral, o qual é limitado a sul pela Zona de Fratura Agulhas-Falkland e a norte pela Zona de Fraturade Rio Grande (ou Florianópolis) (Fontana, 1996; Anjos-Zerfass, 2009).

No extremo norte do segmento Austral, a Bacia de Pelotas, compreende uma área de 250.000 km<sup>2</sup>. Destes, uma parte emersa de 40.000 km<sup>2</sup> constitui a planície costeira do Rio Grande do Sul (Anjos-Zerfass, 2009). É limitada pela Zona de Fraturas de Rio Grande (Fig. 1), que a separa das bacias vizinhas pertencentes ao segmento Central (Bacias de Santos, Campos e Espírito Santo). Na altura do limite norte da bacia dobras simétricas evidenciam uma ampla

zona de transcorrência que atingiu partes profundas da bacia, inclusive seu embasamento (Fontana, 1996). Já o limite sul é com o Alto de Polônio, que a separa da Bacia de Punta del Este. O limite oeste, na porção onshore, ocorrem espessos pacotes de lava pertencentes à Formação Serra Geral (Cretáceo da Bacia do Paraná) que integram a Província Ígnea Paraná-Etendeka (Stica et al., 2014).

Esta grande província ígnea e sua correlata na Namíbia, somadas às cunhas de seaward-dipping reflectors (SDR) nas margens da América do Sul e da África constituem a Província Ígnea do Atlântico Sul (Stica et al., 2014). Os SDR são refletores superpostos, acunhados, que mergulham para o oceano, sem ter uma aparente terminação basal e são localmente convexos para a superfície. A testemunhagem e análises geoquímicas deste intervalo indicaram basaltos toleíticos extrudidos em condições subaéreas, com composição no campo de transição entre basaltos oceânicos e continentais (Fontana, 1996). A composição destes basaltos é mutável no sentido da crosta continental para a oceânica, conforme aumenta o grau de estiramento da crosta. Estão associados aos instantes iniciais do rifteamento e representam a anomalia magnética mais antiga e mais próxima da costa sul brasileira. A espacialização desta feição se superpõe à anomalia G de Rabinowitz e La Brecque (1979), previamente traçada e previamente interpretada como limite da crosta continental e oceânica. Porém interpretações mais recentes postulam o contato entre crosta continental e oceânica sobre as anomalias M0 e M3 (Fontana, 1996).

A Bacia de Pelotas, instalada por sobre crosta continental e oceânica, tem como estruturas principais estratos mergulhando suavemente em direção ao oceano. Estes estratos formam uma cunha que recobre o embasamento cortado por falhas antitéticas de grande rejeito, formando hemi-grabens assimétricos e falhas sintéticas de pequeno rejeito (Fontana, 1996; Abreu, 1998; Rosa, 2007; Stica et al., 2014). Na região do Cone de Rio Grande o que chama a

atenção é a implantação de falhas normais conjugadas com falhas de compensação de origem adiastrófica (Rosa, 2007).

### I.1.2 Preenchimento

Há diferenças marcantes de preenchimento entre as bacias da margem sul e sudeste, principalmente no que diz respeito à deposição de sal e presença de hidrocarbonetos. As bacias do segmento Austral constituem um sistema de rifte, vulcânicos, com grande volume de cunhas de SDR, e sem a deposição de sal. Enquanto que o segmento Central é empobrecido na atividade magmática, possui exumação do manto e espessos pacotes de sal (Stica et al., 2014). Tal fenômeno ocorre devido à barreira geográfica exercida pelo Alto de São Paulo e Plataforma de Florianópolis durante o Aptiano. Esta feição limitou a livre circulação marinha, ocasionando uma restrição ambiental e formação de evaporitos na Bacia de Santos. Por outro lado, na Bacia de Pelotas, a livre circulação acarretou em sedimentação francamente marinha (Fontana, 1996; Abreu, 1998; Anjos-Zerfass, 2009; Stica et al., 2014).

O preenchimento da Bacia de Pelotas evolui em fases distintas, tal como na margem sul africana: Megassequencia Pré Rifte, Megassequencia Rifte, Cunha Passiva (Estágio Inicial e Final) (Fontana, 1996), a qual é equivalente a Fase Pós Rifte e Drifte (Bueno et al., 2007; Abreu, 1998). A fase Drifte é dividida, segundo Abreu (1998) em Transgressiva, Agradacional e Regressiva, e segundo Bueno et al. (2007) em Plataformal, Transgressiva e Regressiva.

O pacote Pré Rifte é o embasamento da Bacia de Pelotas, composto por rochas do embasamento cristalino do Escudo Sul-Riograndense na metade sul da Bacia. Enquanto que na metade norte da bacia o embasamento é composto pela Bacia do Paraná (Fontana, 1996; Bueno et al., 2007). O pacote Rifte é composto pela fase de deposição aluvial e lacustre com conglomerados e siltitos intercalados da Formação Cassino. Após este pacote ocorre a formação



das SDR's, cuja nomenclatura litoestratigráfica refere-a como Formação Curumin (Abreu, 1998; Bueno et al., 2007).

Na transição Aptiano-Albiano tem início a Fase Drifte (ou Cunha Passiva Inicial) (Fontana, 1996). Esta fase da bacia representa um período transgressivo de larga escala, do Albiano ao Oligoceno. Inicialmente é classificada por Bueno et al. (2007) como Drifte Plataformal, e por Abreu (1998) como Drifte Transgressivo. Inicia com a Formação Ariri, restrita a uma pequena extensão no norte da Bacia, próximo ao limite com a Bacia de Santos. Trata-se de delgados evaporitos interdigitados com carbonatos. O contato superior é concordante com a Formação Tramandaí, a qual migra lateralmente para a Formação Porto Belo. Estas formações consistem em depósitos de uma plataforma mista composta por arenitos finos de marinho raso (Fm. Tramandaí), que configura a porção mais proximal, a qual grada para carbonatos bioclásticos e calcarenitos oolíticos a calcilutitos (Fm. Porto Belo) (Abreu, 1998; Bueno et al. 2007).

A tendência transgressiva geral do Drifte que a bacia segue faz com que esta relação lateral de fácies descrita anteriormente mude, de forma parcialmente discordante, suprimindo as fácies carbonáticas da Formação Porto Belo. Tem início, segundo Bueno et al. (2007), a Fase Drifte Transgressivo, no meio do Albiano. Desta forma o marinho raso da Formação Tramandaí migra lateralmente para a configuração mais distal da plataforma caracterizada por sedimentação fina silicilástica e mista, na forma de siltitos e margas da Formação Atlântida, a qual tem contato inferior discordante sobre a Formação Porto Belo (Abreu, 1998; Bueno et al., 2007).

A partir do Turoniano a tendência transgressiva fica efêmera na bacia, ocorrendo também erosões acentuadas, intercaladas a estes eventos transgressivos (Fontana, 1996; Abreu, 1998; Bueno et al., 2007). Isso, segundo Abreu (1998) marca o início da fase Drifte Agradacional. Destes eventos, de mais ampla distribuição ocorre no Paleoceno. Este padrão

efêmero segue até o Oligoceno (Oligoceno inicial para Abreu (1998) e Oligoceno Final para Bueno et al. (2007)). O ambiente costeiro passa a ser dominado pelos leques clásticos grossos e finos da Formação Cidreira que migram lateralmente para folhelhos e siltitos de ambiente marinho profundo, com depósitos turbidíticos da Formação Imbé. Estas duas Formações seguem na bacia até o Holoceno.

No Oligoceno inicia a fase Drifte Regressiva. Nesta fase ocorre a progradação dos leques clásticos e deltas progradantes da Formação Cidreira por sobre os depósitos distais da Formação Imbé. Esta tendência ocorre na maioria das bacias da margem brasileira, caracterizando uma grande cunha sedimentar regressiva no Neógeno. Neste contexto de aumento no aporte sedimentar que ocorre a formação do Cone de Rio Grande (Abreu, 1998; Bueno et al., 2007).

## I.2 Problema e Motivação da Tese

Por tratar-se de uma bacia com especificidades genéticas (estruturais e estratigráficas), diferente de outras bacias marginais brasileiras, é necessária a geração de novos dados, bem como a análise e reinterpretação de dados já existentes. Estes dados devem servir: a) à compreensão da herança estrutural do embasamento nas diferentes porções da bacia na fase de abertura do Atlântico, b) ao entendimento da evolução tectono-sedimentar das bacias de margens passivas conjugadas na África do Sul (bacias Luderitz, Walvis Ridge e Orange) e margem brasileira (Bacia de Pelotas), c) e ao detalhamento do seu preenchimento sedimentar e de estruturas geradas durante as fases de fragmentação e deriva dos continentes e por fim d) desvincular pressupostos conceituais herdados de outras bacias marginais. A presente tese de doutorado está comprometida com os aspectos estratigráficos da bacia, aprofundando os estudos sobre o preenchimento e compartimentação estrutural.

### I.3 Objetivos

O estudo tectono-sedimentar da Bacia de Pelotas objetivou: entender os controles regionais e suas implicações na deposição da bacia. Este objetivo geral está presente nos dois manuscritos da tese, na forma de diferentes objetivos específicos, abordados nos respectivos manuscritos dos capítulos seguintes.

O Manuscrito 1 objetivou a identificação e entendimento de controles tectônicos regionais alóctones à Bacia de Pelotas e como é seu registro na bacia. O Manuscrito 2, aplicando parte dos resultados do Manuscrito 1, ao seguir um fluxo de trabalho de escala regional para local, objetivou investigar quantitativamente ocorrência de sobrepressão no Cone de Rio Grande.

### I.4 Materiais e Métodos

Ao longo dos quatro anos do desenvolvimento da tese os materiais utilizados foram dados de exploração obtidos com a Agência Nacional do Petróleo, Gás Natural e Biocombustíveis (ANP) do Brasil. Trata-se de seções sísmicas e dados de poços da Bacia de Pelotas (Fig). A localização. Esta base de dados foi analisada nos softwares Petrel versões 2017, 2018, 2020, desenvolvidos pela Schlumberger e TemisFlow Petroleum System Software versão 2019, desenvolvido pelo Instituto do Petróleo e BeicipFranlab – Consultoria em Óleo e Gás.

As técnicas utilizadas variaram conforme o manuscrito e tais aspectos são aprofundados em cada um deles nos respectivos capítulos. Os subitens a seguir esclarecem aspectos introdutórios da metodologia, posteriormente abordados em cada manuscrito. O Manuscrito 1 envolve backstripping, análise da taxa de espalhamento do Atlântico Sul e interpretação

sísmica. O Manuscrito 2 aborda conversão tempo-profundidade de seção sísmica e modelagem numérica 2D de bacia.

#### I.4.1 Aplicados no Manuscrito 1

A figura abaixo (Fig. 3), ilustra o fluxo de trabalho adotado no manuscrito 1. Os dados extraídos do modelo de backstripping foram comparados com os dados de taxa de espalhamento do Atlântico Sul. Esta comparação permitiu a identificação de padrões cronocorrelatos, os quais foram melhor compreendidos através de associação com eventos da geologia regional e posteriormente mapeados nas seções sísmicas. Cada uma destas etapas mencionada aqui e visualizada no fluxograma abaixo é detalhada nos subitens a seguir.

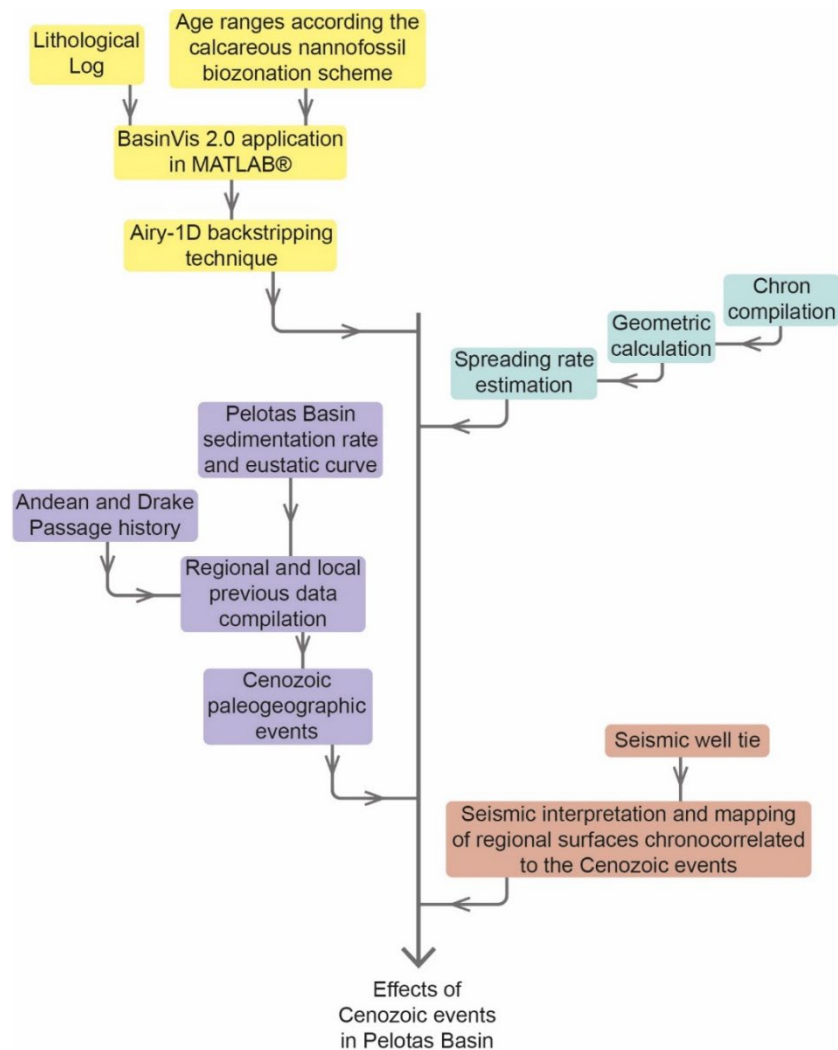


Figura 3. Esta figura compõe o Manuscrito 1, como “Figure 3. Methodological workflow applied in the identify the effects of Cenozoic events in Pelotas Basin.”

#### I.4.1.1 Backstripping

Para gerar as curvas do modelo de backstripping escolhemos quatro poços na bacia de pelotas e usamos o aplicativo BasinVis 2.0 no MATLAB® (Lee e Wagreich, 2018). Os poços estão identificados e podem ser visualizados na Figura 4. Estes poços foram escolhidos devido a necessidade de controle bioestratigráfico. Neste caso, como o artigo tratou do intervalo Cenozoico adotamos o esquema bioestratigráfico de Gomide (1989) com nanofosseis calcários.

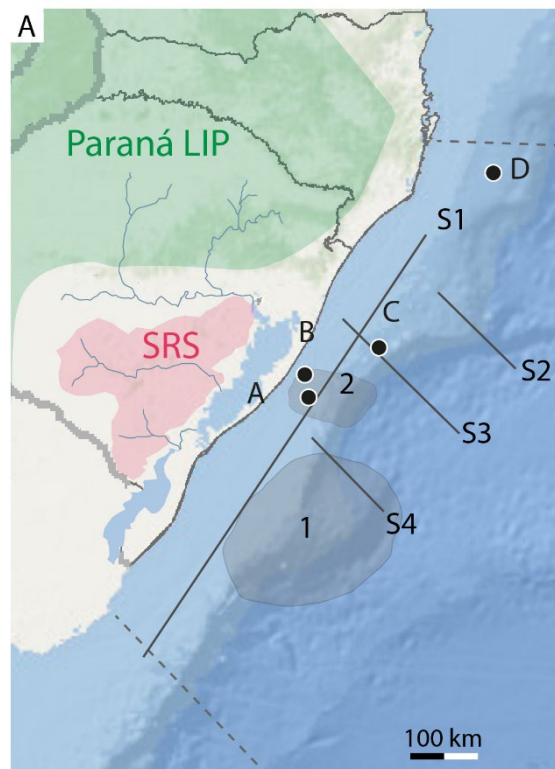


Figura 4. Esta figura compõe o Manuscrito 1, como “Figure 2. A) Map of the Pelotas Basin with interpreted seismic sections and wells. Seismic sections: S1 = 0034-0005, S2 = 0231-1349, S3 = 0228-0317, S4 = 0277J99B342. Wells: A = 1-RSS2-RS, B = 1-RSS3-RS, C = 2-RSS1-RS, D = 1-SCS2-SC. SRS = Sul-Riograndense Shield; 1 = Rio Grande Submarine Fan; 2 = Mostardas Low. ”

Pela remoção gradual de sinais eustáticos, sedimentares e de carregamento de água para pacotes individuais de preenchimento de bacia datados, a técnica isola um histórico de profundidade da bacia que é interpretável em termos de elevação e subsidência de controles tectônicos (Lee et al., 2019). O intervalos de tempo estão de acordo com o esquema de biozonação de nanofósseis calcários de Gomide (1989). Para fins práticos, o embasamento foi considerado qualquer biozona correspondente a N340 ou mais antiga (Material Suplementar SM1 – Biozonação). A paleobatimetria foi baseada nos valores apresentados por Koutsoukos (1982). Para a curva eustática, foram utilizados os valores de Abreu e Anderson (1998).

O modelo tectônico compensado 1D ( $Z$ ) para qualquer tempo geológico  $t$ , é assim obtido com

$$Z(t) = S(t) \cdot \left( \frac{\rho_m + \rho_s}{\rho_m - \rho_w} \right) + W_d(t) - \Delta_{SL}(t) \cdot \left( \frac{\rho_m}{\rho_m - \rho_w} \right)$$

onde  $S(t)$  refere-se à profundidade da camada de sedimento descompactado em qualquer tempo ( $t$ ), and  $\rho_m$ ,  $\rho_s$  and  $\rho_w$  são as densidades médias do manto (3300 kg/m<sup>3</sup>), sedimentos (2300 kg/m<sup>3</sup>) e água (1000 kg/m<sup>3</sup>), respectivamente.  $W_d(t)$  refere-se à paleobatimetria no tempo  $t$ , and  $\Delta_{SL}$  a mudança do nível do mar eustático entre o tempo  $t$  e o presente (Lee et al., 2019).

#### I.4.1.2 Análise da Taxa de Espalhamento do Atlântico Sul

O cálculo da taxa de espalhamento do Atlântico Sul foi estimado para as latitudes compreendidas dentro dos limites da Bacia de Pelotas. Para melhorar a confiabilidade de nossos dados, medimos ao longo de três trilhas diferentes entre pares das principais zonas de fratura: (I) as zonas de fratura de Tristão da Cunha e Chui; (II) as zonas de fratura de Chui e Porto Alegre; e (III) as zonas de fratura de Porto Alegre e Rio Grande, que atravessam o setor da

Bacia de Pelotas. A taxa de espalhamento foi então estimada a partir dos valores médios determinados a partir dos espaçamentos isócronos entre os três pares de zonas de fratura.

As variações da taxa de espalhamento do fundo do mar podem ser estimadas a partir dos espaçamentos das isócronas de reversão magnética em perfis individuais de trilhas de navios (Pérez-Díaz et al., 2020). Para calcular a taxa de espalhamento na Dorsal Meso-Oceânica do Atlântico Sul (SAMOR) na latitude da Bacia de Pelotas, utilizamos o conjunto de dados compilado por Seton et al. (2014) a partir das isócronas de Cande et al (1988), Müller et al (2008) e Pérez-Díaz e Eagles (2014). O intervalo estudado refere-se ao período de acreção crustal oceânica entre chron C27n (60,92 Ma; Gee e Kent, 2007) e o presente. Este intervalo exclui o efeito de saltos relacionados à elevação do Rio Grande (Pérez-Díaz e Eagles et al., 2017). Devido à pequena mudança na posição e geometria do SAMOR desde 60 Ma (ancorado ao eixo de rotação – Müller et al., 2016), podemos definir com segurança a taxa de espalhamento com base na taxa entre intervalo de tempo versus espaçamento de isócronas de reversão no oeste lado de SAMOR.

#### I.4.1.3 Interpretação Sísmica

As seções sísmicas utilizadas no manuscrito 1 estão identificadas e podem ser visualizadas na figura Figura 3. Foram escolhidas seções que abrangessem regionalmente a área da bacia, de forma amplamente distribuída, e que estivessem próximas de poços específicos.

A proximidade com os poços específicos foi um dos critérios devido a necessidade de controle bioestratigráfico. Neste caso, como o artigo tratou do intervalo Cenozoico adotamos o esquema bioestratigráfico de Gomide (1989) com nanofosseis calcários para poços da Bacia de Pelotas. Cada evento identificado a partir das técnicas descritas nos subitens anteriores (Backstripping e Análise da Taxa de Espalhamento do Atlântico Sul) foi localizado em tempo

no poço devido ao controle de idades que a bioestratigrafia de nanofosseis calcários de Gomide (1989) fornece. A partir do poço intersectado com uma seção sísmica o refletor correspondente à idade de interesse foi rastreado para o restante das seções sísmicas. Assim que identificado e mapeado nas seções sísmicas, o refletor de interesse foi descrito.

#### I.4.2 Aplicados no Manuscrito 2

Para o Manuscrito 2 utilizou-se seção sísmica e poço localizados no Cone de Rio Grande (Fig. 5). A escolha da linha ocorreu devido a presença de arcabouço estratigráfico com resolução de interpretação de refletores adequada elaborada por Rosa (2007) (Fig. 6). Trata-se de uma seção dip NW-SE de 160300 m de comprimento e 11000 ms em tempo duplo de percurso.

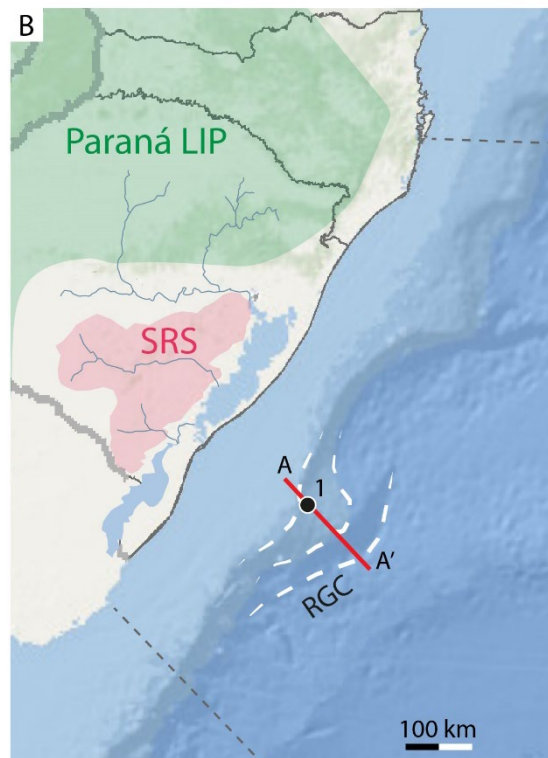


Figura 5. Esta figura compõe o Manuscrito 2, como “Figure 1. B) Map of the Pelotas Basin with interpreted seismic section (A-A’ = J99B194) and well (1 = 1BRSA61RSS).”



A escolha do poço levou em conta sua proximidade com a seção sísmica. Os dados do poço são aplicados na conversão tempo-profundidade dos horizontes interpretados da seção sísmica. Esta etapa requer que o poço e a seção sísmica se intersectem.

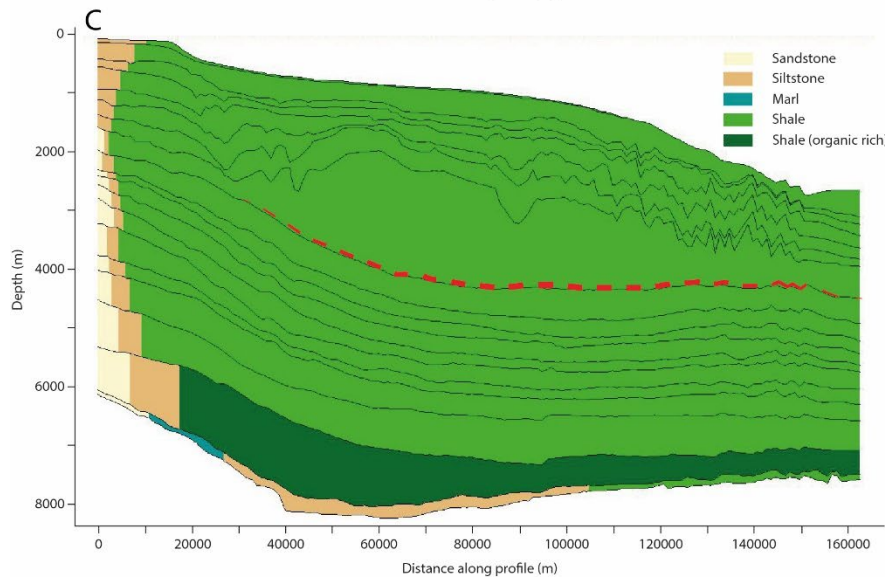
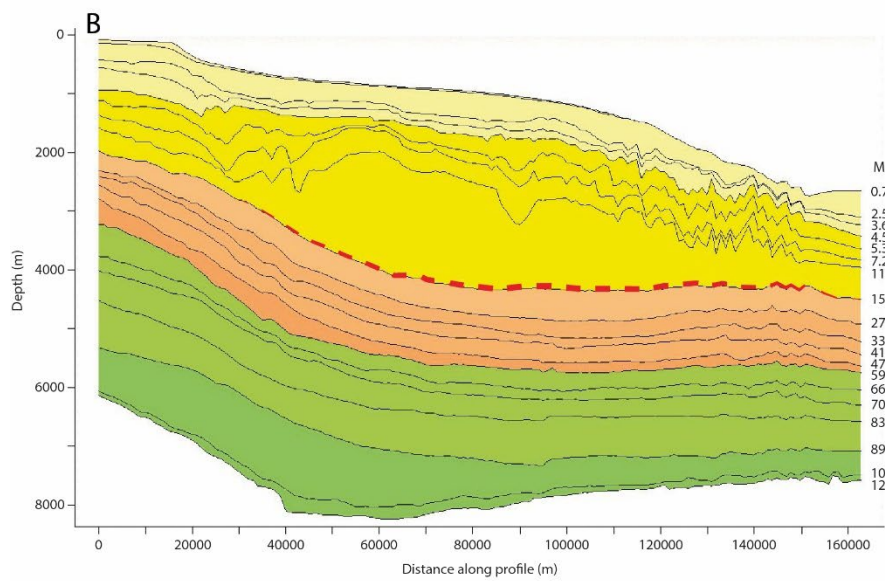
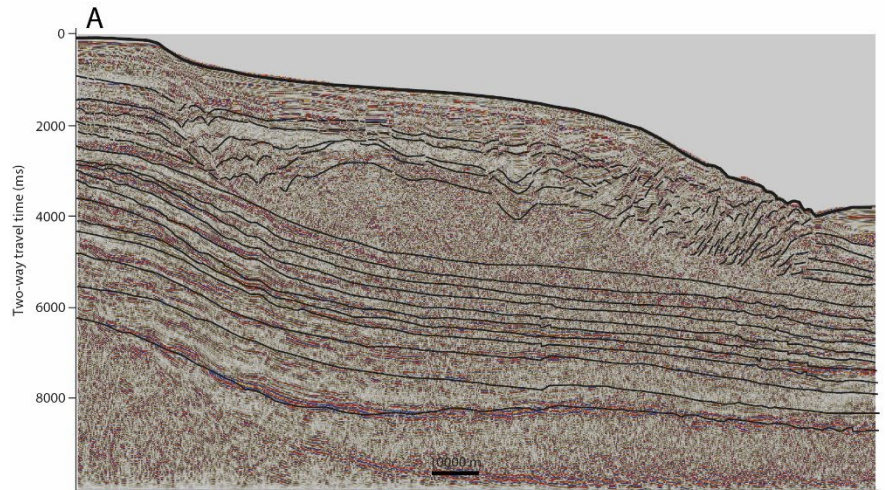


Figura 6. Esta figura compõe o Manuscrito 2, como “Figure 2. A) Interpreted seismic line in two-way time, where the colored lines indicate stratigraphic surfaces described in Rosa (2007) and the red-black dashed line indicates the RGF basal detachment surface, modified from Rosa (2007). Above the basal surface, the RGF displays different structural domains, where the proximal portion corresponds to distensive listric faults. In contrast, the distal portion corresponds to compressive gravitational fold and thrust belt. B) The stratigraphic framework (according to Rosa, 2007), in depth, highlighting the detachment surface in the red dashed line. C) Main lithologies (according to Bueno et al., 2007), in depth, highlighting the detachment surface in the red dashed line.”

#### 1.4.2.1 Conversão tempo-profundidade

A conversão tempo-profundidade dos horizontes sísmicos interpretados considerou a equação do tempo de viagem da onda sísmica refletida verticalmente (Equação 1):

$$\text{Profundidade} = (\text{velocidade média}) \times (\text{tempo duplo}/2)$$

Onde o tempo duplo é o tempo do horizonte interpretado na seção *zero-offset* e a velocidade média é o perfil de velocidade obtido do perfil sísmico vertical do Poço 1 (VSP).

#### 1.4.2.2 Modelagem numérica 2D de bacia

A modelagem 2D da bacia foi executada no software TemisFlow Petroleum System (IFPen – French Institute of Petroleum, versão 2019). O primeiro passo foi importar os horizontes (anteriormente convertidos em profundidade). Posteriormente, cada horizonte recebeu uma idade, seguindo o quadro estratigráfico de Rosa (2007) (Fig. 6). Em seguida, cada intervalo entre os horizontes recebeu litologias, segundo Bueno et al. (2007) e Rosa (2007) (Fig. 6).

Para modelagem de sobrepressão, foram computadas variáveis como porosidade, permeabilidade e taxa de sedimentação. As variáveis de porosidade e permeabilidade utilizadas nesta modelagem foram extraídas da Biblioteca de Litologia do IFPen e a variável taxa de sedimentação foi calculada restaurando geometrias passadas. O TemisFlow realiza esta função através da técnica de backstripping baseada na geometria atual e nas leis de compactação de litofácies.

O próximo passo foi parametrizar as condições de temperatura. A computação da distribuição de temperatura resultante da transferência de calor através da bacia sedimentar requer a definição de um embasamento térmico. As opções disponíveis no TemisFlow para cálculo de regimes térmicos incluem gradientes geotérmicos, fluxo de calor nos sedimentos de base, fluxo de calor na base do manto superior. Com base nos dados de poços disponíveis, o modelo foi rodado com 20°C/km como gradiente térmico e temperatura do fundo do mar variando de 6°C (águas rasas) a 3°C (águas profundas a 13600 m), de acordo com Ketzer et al. (2020).

## II. Manuscrito 1

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1 **From the Andes and the Drake Passage to the Rio Grande Submarine Fan:**  
2 **paleoclimatic and paleogeographic evidence in the Cenozoic Era from the**  
3 **South Atlantic – Austral Segment, Pelotas Basin**

4

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10

11 Abstract

12 The Cenozoic depositional history of the Pelotas Basin was driven by the  
13 interaction between the spreading processes of the Atlantic Ocean and the  
14 formation of the Andean Cordillera. We integrated seismic interpretation and  
15 backstripping techniques with evaluation of spreading rates in the South Atlantic  
16 and subduction beneath the Andes to unveil the main climatic, eustatic and active  
17 tectonic events in the Cenozoic. The achieved results integrated with regional  
18 geology and Cenozoic history data show the tectonic control that the Andean  
19 Cordillera exerted over the Pelotas Basin's passive margin. On the other hand,  
20 climatic and glacioeustatic changes that influenced the Pelotas Basin's  
21 sedimentation were mostly controlled by the tectonic opening of Drake Passage  
22 and the consequent onset of the Antarctic Circumpolar Current. These processes  
23 were directly connected to motions of the Antarctic, South American, and Nazca

24 plates since the beginning of the Cenozoic. Our results allow us to detail the  
25 geological events of the Pelotas Basin and more accurately define its eustatic,  
26 tectonic, and climatic controls by closely observing the interaction between  
27 compressive tectonics and passive margins.

28

29 Keywords: Passive margin; Compressive tectonics; Glaciotectonic; Antarctic  
30 Circumpolar Current; Pelotas Basin; Paleogeography.

31

## 32 1. INTRODUCTION

33 The Earth has experienced paleogeographic and paleoclimate events in  
34 the Cenozoic that contributed substantially to the present-day geological  
35 configuration. These events include the opening and closing of oceanic  
36 gateways, hyperthermal events, climate transitions, and the rise of mountain  
37 chains, providing valuable paleoclimatic comparative constraints for projections  
38 of the Earth's future (Zachos et al., 2008, Potter and Szatmari, 2009, Wright,  
39 2009, Mudelsee et al., 2014). In contrast to the present, much of the early  
40 Cenozoic was characterized by high concentrations of greenhouse gases, high  
41 global average temperatures, the absence of ice caps in the poles, and a low-  
42 temperature gradient between high and low latitudes. Over the last 34 Ma, the  
43 atmospheric CO<sub>2</sub> concentration and global temperature dropped, and a  
44 continental ice sheet developed in Antarctica (Zachos et al., 2008, Mudelsee et  
45 al., 2014). Along with long-term climate system feedbacks, these changes have  
46 also been attributed to the effects of long-term geographic changes on  
47 oceanographic and atmospheric circulation patterns (Mudelsee et al., 2014).

48 During the Cenozoic, the Andean convergence zone has experienced a  
49 complex pattern of compressional and extensional events at different latitudes  
50 and different times, resulting in a variety of tectonic domains (Horton, 2018). This  
51 mixed scenario is the result of differential decoupling along the plate boundary  
52 during slab rollback. According to Horton (2018), while a retreating subduction  
53 system has tended to dominate the Southern Andes, shallow subduction has  
54 maintained strong plate coupling in the Central Andes. These compressional and  
55 extensional scenarios resulted in repeated uplift pulses in the Central Andes and  
56 the development of extensional domains in the Southern Andes (Canavan et al.,

57 2014, Carrapa et al., 2014, Quade et al., 2014, Horton, 2018). Additionally, at  
58 around 50 Ma, a marked increase in the divergence rate between the South  
59 American and Antarctic plates led to the widening of the Drake Passage and, in  
60 turn, to a gradual increase in interaction between Pacific and Atlantic waters in  
61 the Southern Ocean (Livermore et al., 2007). Antarctic glaciation also began  
62 intermittently and, by 34 Ma, led to the formation of a continental ice sheet  
63 (Zachos et al., 2008). Close to the boundary between the Oligocene and  
64 Miocene, Drake Passage had become wide enough to permit intensification and  
65 broadening of the Antarctic Circumpolar Current (ACC) (Zachos et al., 2008,  
66 Zhang et al., 2013). However, short-lived warming episodes punctuated the  
67 accompanying long-term cooling trend, such as the Middle Miocene Climate  
68 Optimum (Zachos et al., 2008, Zhang et al., 2013).

69 Large submarine fans grew throughout the Cenozoic. Starting in the  
70 Neogene, there was a six-fold increase in the accumulation of hemipelagic mud  
71 (Potter and Szatmari, 2009). Voluminous Neogene submarine fans are registered  
72 worldwide, the majority composed of muddy sediments, like the Amazon and  
73 Bengal-Nicobar fans (Damuth and Kumar, 1975; Curray et al., 2003, Potter and  
74 Szatmari, 2009; McNeil et al., 2017, Horn et al., 2017, Chemale et al., 2021). In  
75 the South Atlantic Ocean, the Rio Grande Submarine Fan has accumulated since  
76 the Miocene to become the most prominent sedimentary feature in the Pelotas  
77 Basin (Rosa, 2007; Castillo-López, 2009; Anjos-Zerfass et al., 2014; Chemale et  
78 al., 2021).

79 In this work, we aim to show how the succession of global and regional  
80 tectonic events described above is recorded in the sedimentation history of  
81 passive margin basins in the southern portion of the Atlantic Ocean, in particular



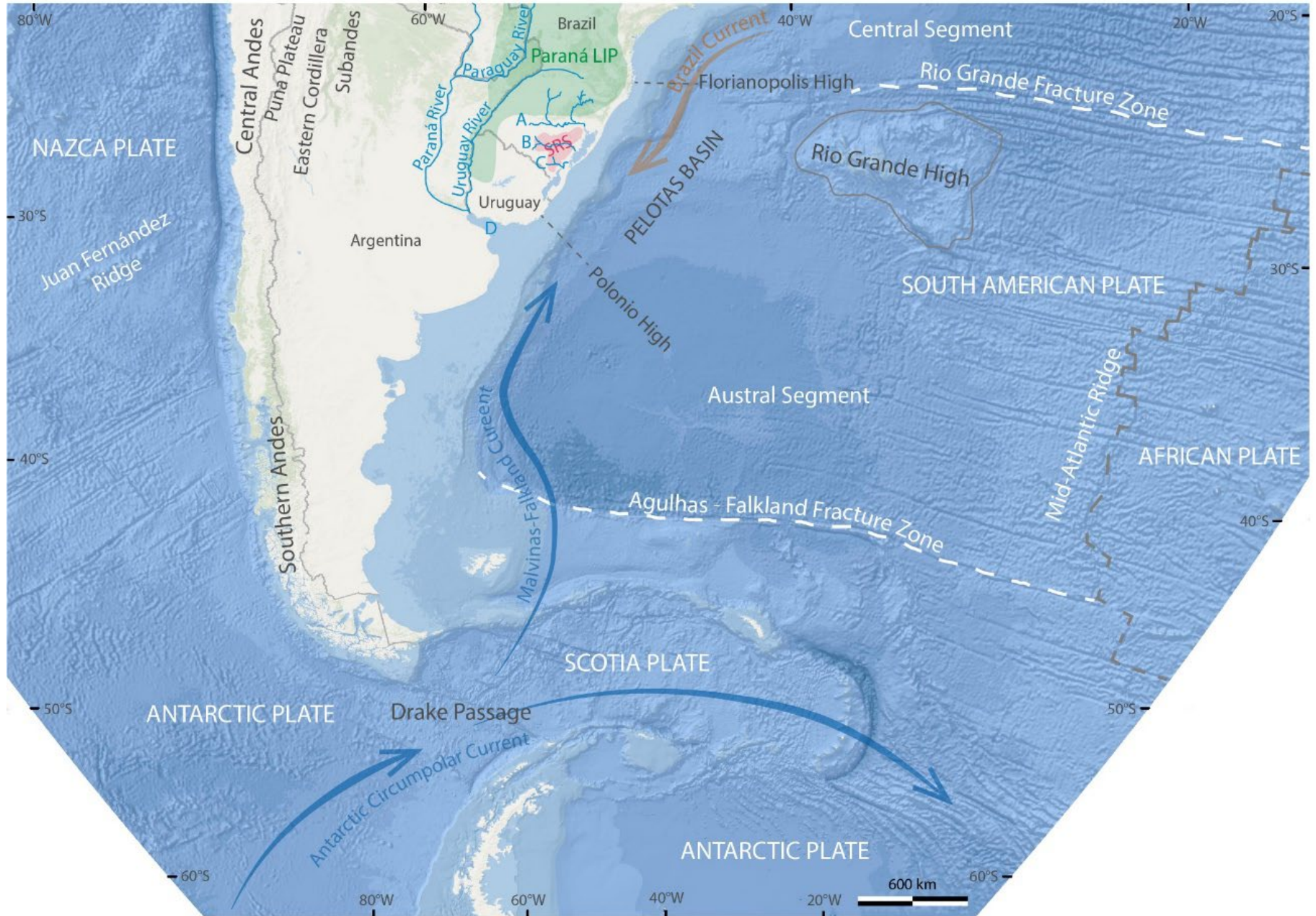
82 that of the Rio Grande Submarine Fan. To do this, we carried out a multiproxy  
83 analysis using subsurface data and regional information to identify the effects of  
84 climatic, eustatic, and tectonic triggers. The analysis requires integration of data  
85 on extensional passive margins, compressive Andean orogenic systems, and  
86 plate rearrangements to better understand climate changes and the tectono-  
87 sedimentary influence in the evolution of the Pelotas Basin.

88

## 89 2. GEOLOGICAL SETTING

90 The South American passive margin originated during the early  
91 Cretaceous breakup of Gondwana. Regionally, it can be divided into three  
92 segments separated by oceanic fracture zones: the equatorial, central, and  
93 austral segments (Fig. 1). The Pelotas Basin is part of the austral segment, which  
94 is bounded to the south by the Agulhas-Falkland Fracture Zone and to the north  
95 by the Rio Grande Fracture Zone (Fontana, 1996; Stica et al., 2014) (Fig. 1).

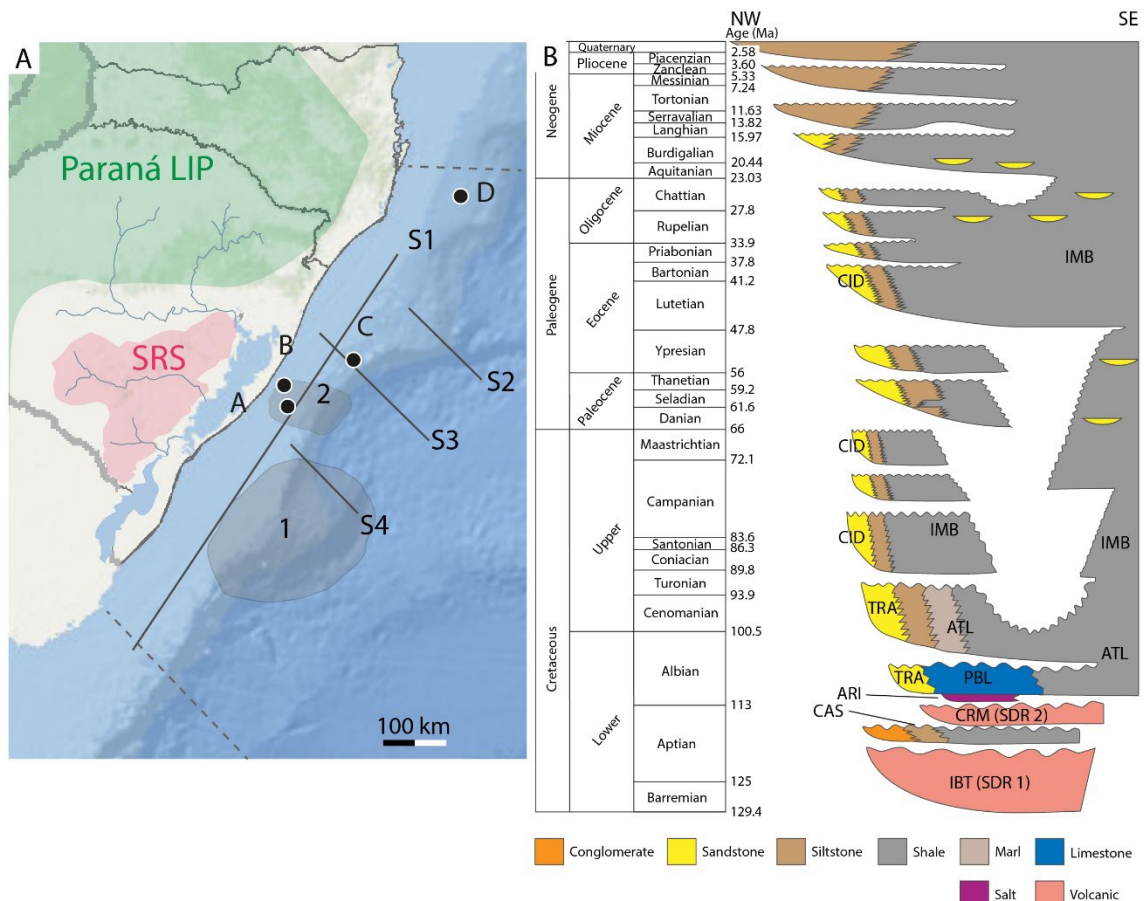
96 Located at the northernmost austral segment, the Pelotas Basin covers  
97 250,000 km<sup>2</sup>, with an emergent part of the basin underlies a coastal plain with an  
98 area of 40,000 km<sup>2</sup> (Anjos-Zerfass, 2009). The Pelotas Basin is limited to the  
99 north by the Santos Basin through the Rio Grande Fracture Zone (Fig. 1). The  
100 southern limit is within the Polônio High, separating the Pelotas Basin from the  
101 Punta del Este Basin (Stica et al., 2014) (Fig. 1).



103 Figure 1. Map of southern South America showing the main structures on land  
104 and in the southern Atlantic Ocean and the location of the Pelotas Basin with its  
105 northern and southern limits. Areas: SRS = Sul-Riograndense Shield; Rivers: A  
106 = Jacuí; B = Camaquã; C = Piratini; D = Rio de la Plata. Fracture zones and sub-  
107 basins according to Stica et al. (2014) and Serrat (2021). Ocean currents  
108 according to Stramma and England (1999), Laprida et al. (2011) and Santos et  
109 al. (2017).

110

111 The Pelotas Basin fill starts with subaerial basaltic lavas of the Imbituba  
112 Formation that overlie the basement of extended continental crust. These lavas  
113 are characterized in seismic images by packages of overlapping reflectors that  
114 are wedge-shaped and plunge towards the ocean (Bueno et al., 2007, Stica et  
115 al., 2014). They have no apparent basal termination and are locally convex  
116 upwards. Reflectors like these are common at extended margins, where they are  
117 called Seaward Dipping Reflectors (SDR). The Imbituba Formation SDRs form  
118 the first of two such packages in the basin, labelled as SDR1 (Fig. 2B) (Abreu,  
119 1998; Bueno et al., 2007). Overlying SDR1, syn-rift sediments with alluvial and  
120 lacustrine facies associations represented by interlayered conglomerates,  
121 sandstones, and siltstones make up the Cassino Formation (Fig. 2B). Above this  
122 formation, a second SDR package (SDR2), related to submarine volcanic  
123 extrusions of the Curumim Formation, is recorded (Fig. 2B) (Abreu, 1998; Bueno  
124 et al., 2007) (Fig. 2B).



125 Figure 2. A) Map of the Pelotas Basin with interpreted seismic sections and wells.  
 126 Seismic sections: S1 = 0034-0005, S2 = 0231-1349, S3 = 0228-0317, S4 =  
 127 0277J99B342. Wells: A = 1-RSS2-RS, B = 1-RSS3-RS, C = 2-RSS1-RS, D = 1-  
 128 SCS2-SC. SRS = Sul-Riograndense Shield; 1 = Rio Grande Submarine Fan; 2 =  
 129 Mostardas Low. B) Chronostratigraphic chart of the Pelotas Basin adapted from  
 130 Bueno et al. (2007) and International Chronostratigraphic Chart 2020/1 from  
 131 Cohen et al. (2013; updated). Formations: IBT = Imbituba, CAS = Cassino, CRM  
 132 = Curumim, ARI = Ariri, PBL = Porto Belo, TRA = Tramandaí, ATL = Atlântida,  
 133 CID = Cidreira, IMB = Imbé. Lower Cretaceous volcanic packages are also  
 134 labelled as SDR packages SDR1 and SDR 2.

135

136 The drift phase began at the transition between the Aptian and Albian  
 137 (Fontana, 1996) and continued until the present. The Cenozoic record of the  
 138 Pelotas Basin consists of coastal environment deposits dominated by thick and  
 139 thin clastic fans of the Cidreira Formation that give way laterally to distal deep

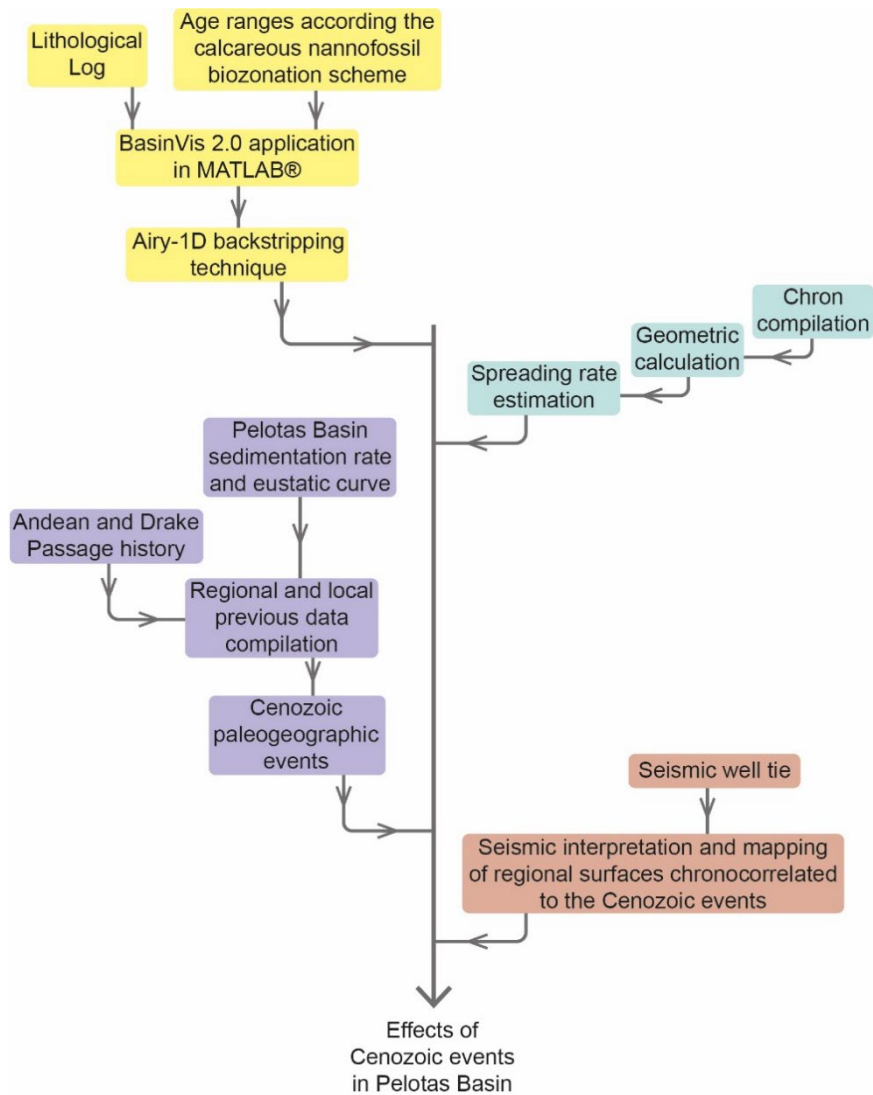
140 marine shales and siltstone of the Imbé Formation, which also contains turbiditic  
141 deposits (Fig. 2B). From the Oligocene onwards, the clastic fans and deltas  
142 spread over the distal deposits, forming a large regressive sedimentary wedge in  
143 the Neogene (Bueno et al., 2007). The Rio Grande Submarine Fan (RGF) started  
144 to form in this context of increased sedimentary input (Abreu, 1998; Bueno et al.,  
145 2007, Rosa, 2007; Castillo-López, 2009) (Figs. 1 and 2A).

146

### 147 3. Material and Methods

148 We used Pelotas Basin public data; well logs and seismic sections  
149 available from the National Agency of Petroleum Gas and Biofuels (ANP). We  
150 applied three techniques: backstripping, seafloor spreading rate analysis, and  
151 seismic section interpretation. The spreading rate analysis was calculated for the  
152 entire sector of the Pelotas Basin. Figure 2A locates the four wells used in the  
153 backstripping calculation and the five seismic sections we interpreted.

154 The backstripping, seafloor spreading rate and seismic interpretation  
155 followed the workflow showed in the Figure 3. The following sub-items detail the  
156 methods.



157 Figure 3. Methodological workflow applied in the identify the effects of Cenozoic  
 158 events in Pelotas Basin.

159

### 160 3.1 Backstripping

161 The Airy-1D backstripping technique (Watts and Ryan, 1976) enables  
 162 evaluation of the evolution of accommodation space. In this work,  
 163 accommodation and total subsidence are considered synonyms. We performed  
 164 the backstripping calculation using the BasinVis 2.0 application in MATLAB® (Lee  
 165 and Wagreich, 2018) and data from 4 wells in the Pelotas Basin (Fig. 2A). By the

166 stepwise removal of eustatic, sediment, and water loading signals for individual  
167 dated basin fill packages, the technique isolates a basin depth history that is  
168 interpretable in terms of tectonic controls uplift and subsidence (Lee et al., 2019).

169 The application of this technique starts from the analysis of total  
170 subsidence. This analysis involves restoring each sedimentary layer to its original  
171 thickness for a specific time and depth after using a compaction trend to remove  
172 the above sediment load. Assuming the total volume of sediment does not  
173 change with decompaction, the sediment column expands by increasing in  
174 porosity space. Equations for the reduction in porosity with increasing burial depth  
175 describe exponential trends (Sclater and Christie, 1980) (Equation 1):

$$176 \quad \phi = \phi_0 \exp^{-cz} \quad (1)$$

177 in which  $\phi$ , the porosity at depth,  $z$ , depends also on the uncompacted  
178 porosity at sea level,  $\phi_0$  and a lithology-specific compaction coefficient,  $c$ . The  
179 total thickness of the restored sediment column defines the base for the total  
180 subsidence analyses. According to Gallagher and Lambeck (1989), the  
181 necessary parameters can be taken from a simplified lithological log (see  
182 Supplementary Material SM2 – Lithological log). The age ranges were  
183 parameterized according to the calcareous nannofossil biozonation scheme by  
184 Gomide (1989) and Antunes et al. (2004) (Supplementary Material SM1 –  
185 Biozonation). For practical purposes, the basement was considered any biozone  
186 corresponding to N340 or older (Supplementary Material SM1 – Biozonation).

187 From equation (1) (Sclater and Christie, 1980), we were able to compute  
188 the decompaction of a sediment column (Equation 2):

189 
$$z2' - z1' = z2 - z1 - \frac{\phi_0}{c} (\exp^{-cz1} - \exp^{-cz2}) + \frac{\phi_0}{c} (\exp^{-cz1'} - \exp^{-cz2'}) \quad (2)$$

190 Where  $z2$  and  $z1$  are the actual depths of the sedimentary column (bottom  
 191 and top), and  $z2'$  and  $z1'$  are the decompacted depths of the sedimentary column  
 192 (bottom and top) after removing overlying layers of sediment. Granted that  $z1'$  is  
 193 the paleobathymetry of the target time step, it is possible to solve  $z2'$  numerically.

194 The tectonic subsidence consists of subsidence induced by tectonic  
 195 processes (Steckler and Watts, 1978). It shows an ideal subsidence history by  
 196 only considering the depth of a water-filled basin without the sediment-driven  
 197 subsidence (Xie and Heller, 2009). The tectonic analysis comprises an isostatic  
 198 balance between the lithospheric column through the sedimentary basin and a  
 199 column in which the sedimentary load was removed and substituted by water.  
 200 Further corrections are then applied for decompaction, paleobathymetry, and  
 201 changes in eustasy. Paleobathymetry was based on the values presented by  
 202 Koutsoukos (1982). For the eustatic curve, values from Abreu and Anderson  
 203 (1998) were used. The 1D compensated tectonic model ( $Z$ ) for any geological  
 204 time  $t$ , is thus obtained with

205 
$$Z(t) = S(t) \cdot \left( \frac{\rho_m + \rho_s}{\rho_m - \rho_w} \right) + W_d(t) - \Delta_{SL}(t) \cdot \left( \frac{\rho_m}{\rho_m - \rho_w} \right) \quad (3),$$

206 where  $S(t)$  refers to the decompacted sediment layer depth at any time  
 207 ( $t$ ), and  $\rho_m$ ,  $\rho_s$  and  $\rho_w$  are the mean densities of the mantle (3300 kg/m<sup>3</sup>),  
 208 sediments (2300 kg/m<sup>3</sup>), and water (1000 kg/m<sup>3</sup>), respectively.  $W_d(t)$  refers to  
 209 the paleobathymetry at time  $t$ , and  $\Delta_{SL}$  the change of eustatic sea-level between  
 210 time  $t$  and the present (Lee et al., 2019).

211



## 212 3.2 South Atlantic spreading rate

213           Seafloor spreading rate variations can be estimated from the spacings of  
214 magnetic reversal isochrons on individual ship-track profiles (Pérez-Díaz et al.,  
215 2020). To calculate the spreading rate at the South Atlantic Mid-Ocean Ridge  
216 (SAMOR) at the latitude of the Pelotas Basin, we used the data set compiled by  
217 Seton et al. (2014) from the isochrons of Cande et al (1988), Müller et al (2008)  
218 and Pérez-Díaz and Eagles (2014). The studied interval pertains to the period of  
219 oceanic crustal accretion between chron C27n (60.92 Ma; Gee and Kent, 2007)  
220 and present. This interval excludes the effect of Rio Grande Rise-related ridge  
221 jumps (Pérez-Díaz and Eagles et al., 2017). Due to the minor change in the  
222 SAMOR position and geometry since 60 Ma (anchored to spin axis – Müller et  
223 al., 2016), we can reliably define the spreading rate based on the rate between  
224 time interval versus spacing of reversal isochrons on the west side of SAMOR.

225           To improve the reliability of our data, we measured along three different  
226 tracks between pairs of the main fracture zones: (I) the Tristan da Cunha and  
227 Chui fracture zones; (II) the Chui and Porto Alegre fracture zones; and (III) the  
228 Porto Alegre and Rio Grande fracture zones, that cross the Pelotas Basin sector.  
229 The spreading rate was then estimated from the mean values determined from  
230 isochron spacings between the three pairs of fracture zones.

231

## 232 3.3 Seismic Interpretation

233           Seismic interpretation was performed using Petrel 2020 software. The  
234 well-seismic tie was based on well biostratigraphic data. The biozonation used is  
235 Gomide (1989) and Antunes et al. (2004) (see Supplementary Material SM1 –

236 Biozonation). The regional surfaces were mapped and interpreted in time domain  
237 seismic sections.

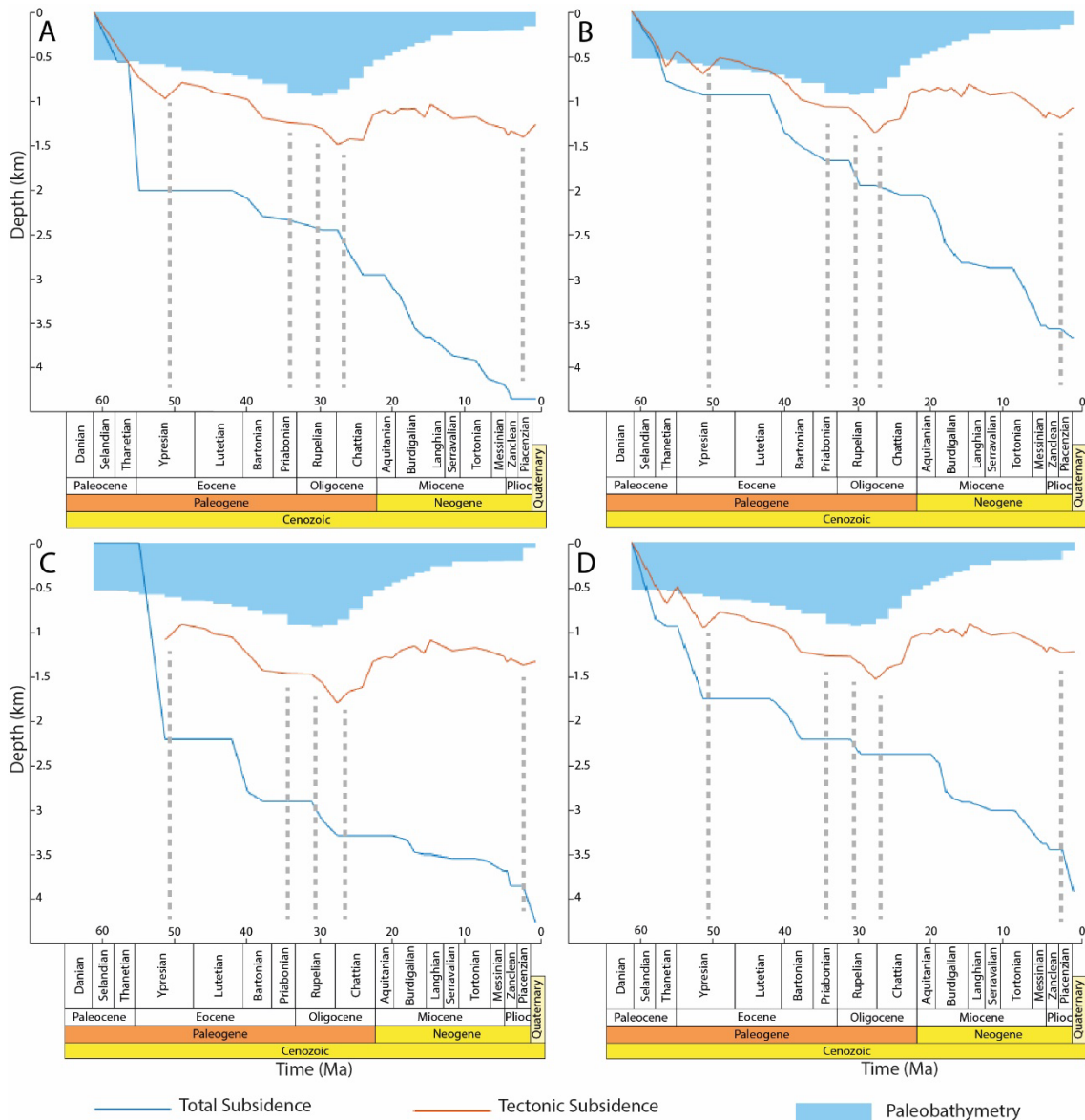
238

## 239 4. RESULTS

### 240 4.1 Backstripping

241 The tectonic component curves (Fig. 4) behave similarly in four wells in  
242 the central and northern portions of the Pelotas Basin (Fig. 1 and 2A). The model  
243 subsidence curves show a notable inflection in the tectonic component at Upper  
244 Ypresian (50 Ma) times, whilst the total accommodation curves do not vary.  
245 Specifically, the sedimentary packages recorded in the wells reveal relatively  
246 continuous tectonic subsidence until 50 Ma, which in each case is followed by  
247 negative subsidence by amounts ranging between 200 m and 250 m, lasting until  
248 about 48 Ma (Fig. 4). Afterwards, there is a return to positive subsidence.

249 Both components of the model subsidence curves (tectonic and total)  
250 show little to no change across the Eocene-Oligocene boundary (ca. 34 Ma; Fig.  
251 4). However, a few million years later, at Rupelian (30 Ma), all of the well sites  
252 start to experience a phase of relatively fast tectonic subsidence accompanied  
253 by enhanced total subsidence. In this interval, the tectonic subsidence ranges  
254 between 250 m and 300 m (Fig. 4). In this period, the deepest paleobathymetry  
255 is reached (Fig. 4).



256 Figure 4. Backstripping results from four wells (A = 1-SCS-2-SC, B = 2-RSS-1-  
 257 RS, C = 1-RSS-3-RS, D = 1-RSS-2-RS) in the Pelotas Basin (for locations, see  
 258 Figure 2A). The grey dotted lines indicate ca. 50 Ma, 34 Ma, 30 Ma, and 27 Ma.

259

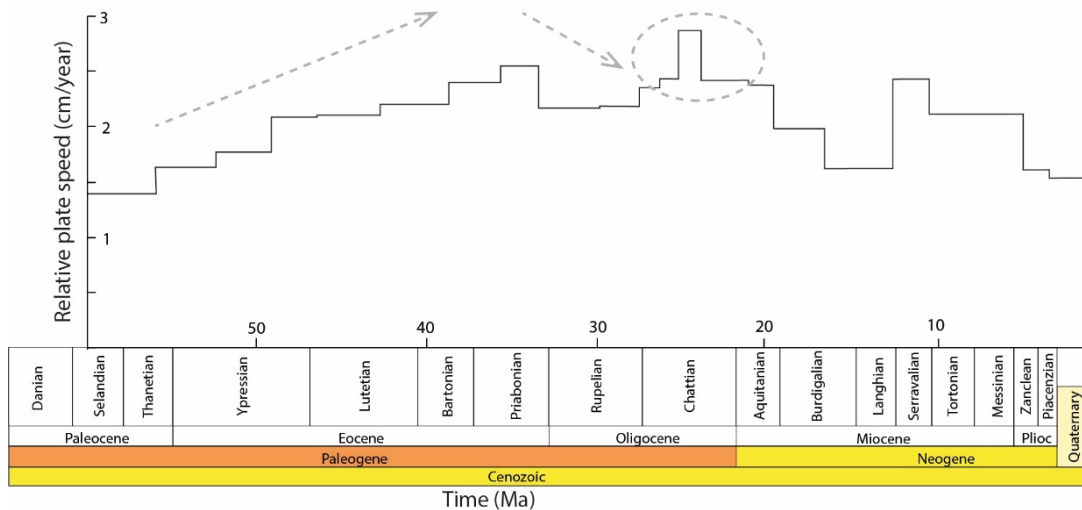
260 Chattian times (27 Ma) see an inflection in the tectonic component curve  
 261 leading to renewed negative tectonic subsidence, which at the various well sites  
 262 gradually tails off at different times during the 22-15 Ma period (Fig. 4).  
 263 Afterwards, the sedimentary packages return to recording relatively slow tectonic  
 264 subsidence followed finally by negative tectonic subsidence starting in the  
 265 Piacenzian (2.5 Ma).

266

267 4.2 South Atlantic spreading rate

268 The South Atlantic spreading rate at latitudes that include the Pelotas  
269 Basin varies throughout the Cenozoic. In general, the plotted rates vary between  
270 approximately 1.5 cm/year and 3 cm/year (Fig. 5).

271 Spreading rates increase by 70% during the Ypresian (56 Ma to 47.8 Ma),  
272 from 1.4 cm/year to 2.4 cm/year (Fig. 5). Subsequently, they decrease slightly  
273 until Rupelian times (30 Ma), reaching 2.0 cm/year. Shortly afterwards, in  
274 Chattian times at 27 Ma, a short-lived spike sees the highest rate of plate  
275 divergence (2.9 cm/year) reached. After this interval, the divergence rate  
276 gradually decreases.



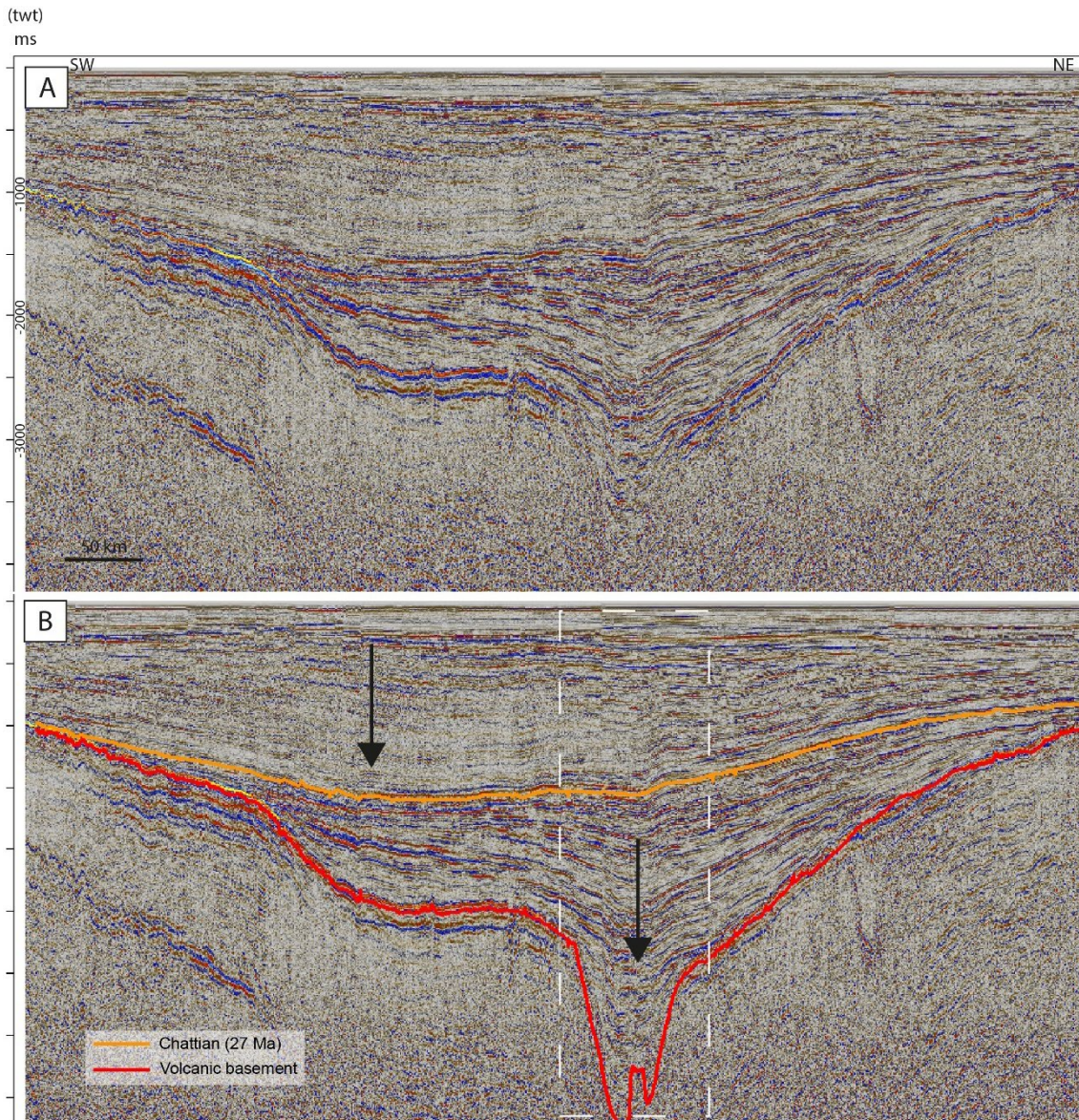
277

278 Figure 5. Spreading rates over the last 61 million years (a proxy for relative  
279 displacement of the South American plate) at the latitudes of the Pelotas Basin  
280 (compiled by Seton et al., 2014 from Cande et al., 1988; Müller et al., 1999 and  
281 Pérez-Díaz and Eagles, 2014 isochrons). The dotted arrows and circle highlight  
282 an initial acceleration, subsequent deceleration, and a short-lived spike to reach  
283 a maximum value.

284

### 285 4.3 Seismic Interpretation

286           The strike section of Fig. 6 shows a Chattian (27 Ma) reflector that divides  
287 two packages of contrasting reflector characteristics, resulting in different  
288 sismofacies described in this seismic section. In the package below it, reflectors  
289 are inclined towards the centre of the basin. This package is restricted to latitudes  
290 corresponding to the Mostardas Low (Figs. 1 and 2A). This sismofacies presents  
291 high amplitude reflectors, with lateral continuity and with stratified divergent  
292 internal reflection pattern. Above the Chattian reflector, widespread continuous  
293 reflectors dip very gently southwards, where the RGF is currently located (Figs.  
294 1 and 2A). This package presents lateral continuity and stratified parallel to  
295 divergent internal reflection pattern. This interval can be divided in two  
296 sismofacies according with the amplitude: northeastward the reflectors present  
297 high amplitude, whilst southwestward the reflectors presents low amplitude.

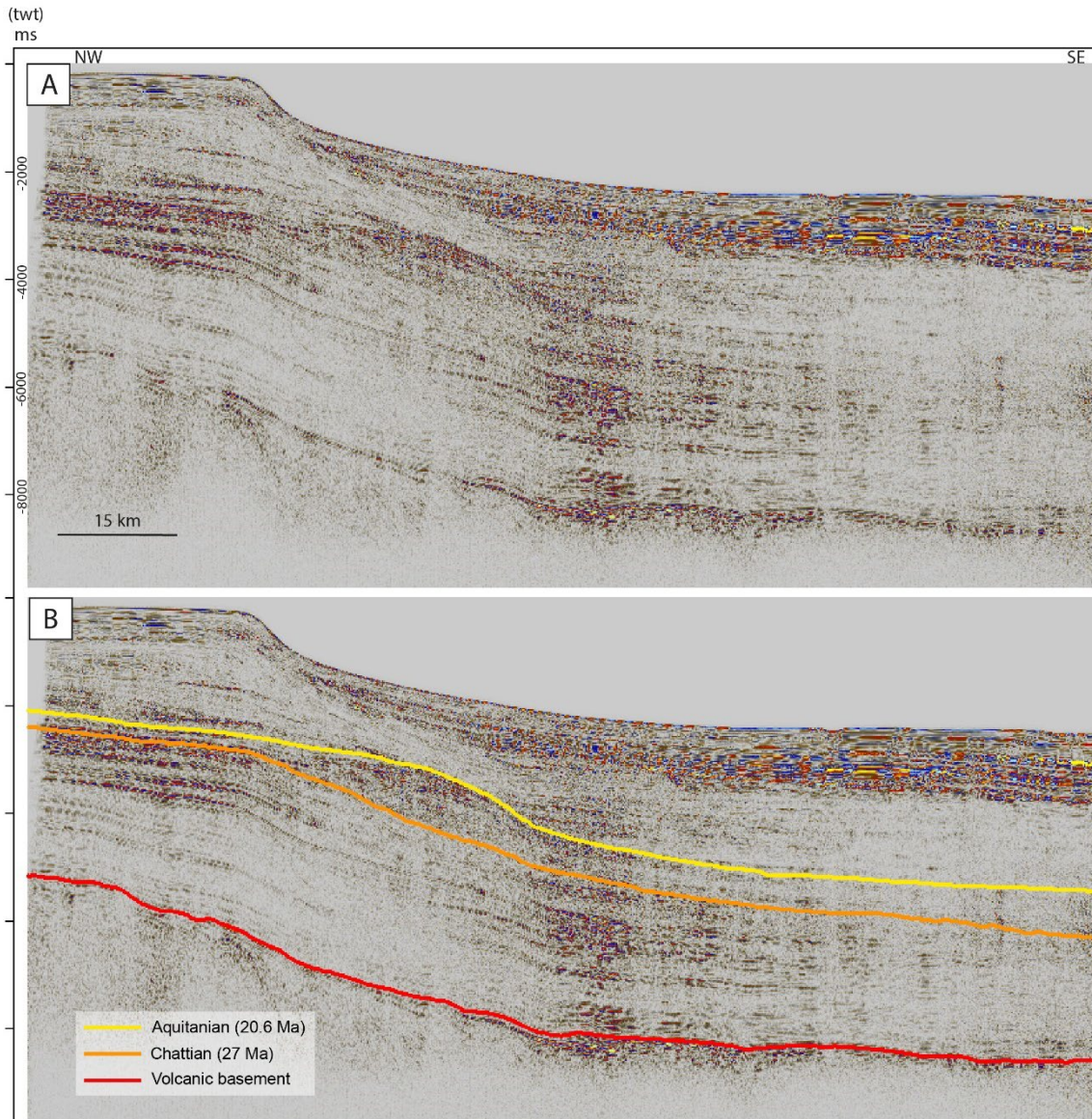


298 Figure 6. Pelotas Basin seismic sections on the continental platform (located as  
 299 “S1” in Figure 2A). A) Strike section 0034-0005 without interpretation. B) Strike  
 300 section 0034-0005 showing the volcanic basement and the Chattian (27 Ma)  
 301 reflectors. The arrows indicate two depocenters and the dashed white rectangle  
 302 indicates latitudes corresponding to the Mostardas Low.

303

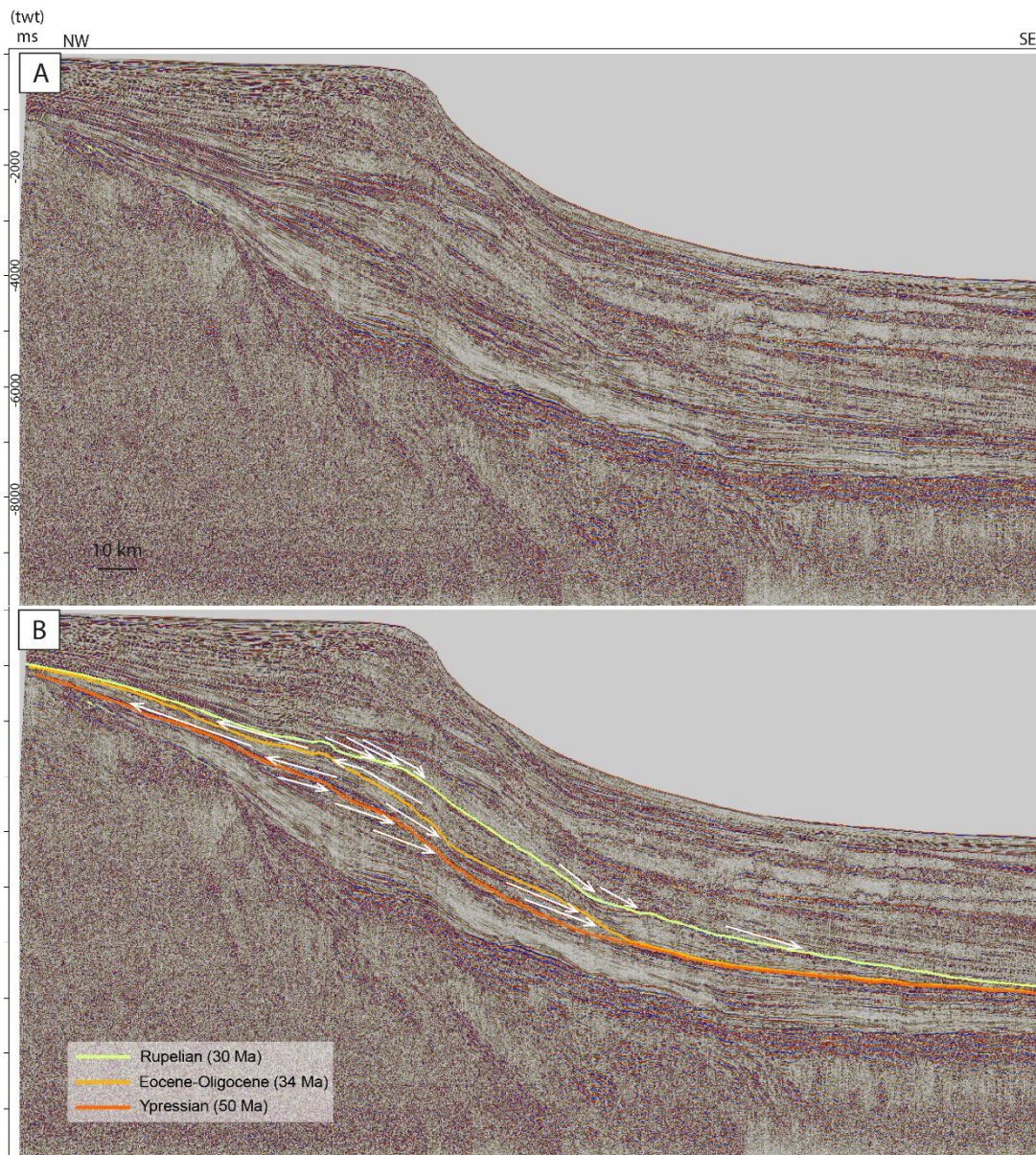
304 The dip section over the RGF in Figure 7 also reveals a large-scale  
 305 Oligocene-Miocene changes across the Chattian reflector (27 Ma) as observed  
 306 in the strike section. The Chattian boundary coincides with the basal surface of a  
 307 wedge-shaped macroform. This macroform displays internal reflectors with onlap

308 and downlap terminations. Its upper limit is marked by the Aquitanian (20.60 Ma)  
309 reflector (Fig. 7).



310 Figure 7. Southern Pelotas Basin seismic sections located as “S4” in Figure 2A.  
311 A) Dip section 0277J99B342 without interpretation. B) Dip section 0277J99B342  
312 with interpretation of the volcanic basement, Chattian (27 Ma), and Aquitanian  
313 (20.60 Ma) reflectors. Note the wedge-shaped macroform between the orange  
314 and yellow reflectors.  
315

316 The interpreted seismic dip sections (Figure 8) also allow mapping of  
317 relevant broadly continuous surfaces across the basin. One of these is an  
318 Ypresian (50 Ma) erosive surface, identifiable from prominent erosional  
319 truncation covered by onlap terminations. A second widespread erosive surface  
320 is interpretable in the Oligocene package, also identifiable by erosional truncation  
321 covered by onlap terminations. Above these, a Rupelian (30 Ma) flooding surface  
322 can be interpreted from widespread downlap terminations, what indicates a  
323 downlap surface, covering parallel to subparallel reflectors with onlap  
324 terminations (Fig. 8B).

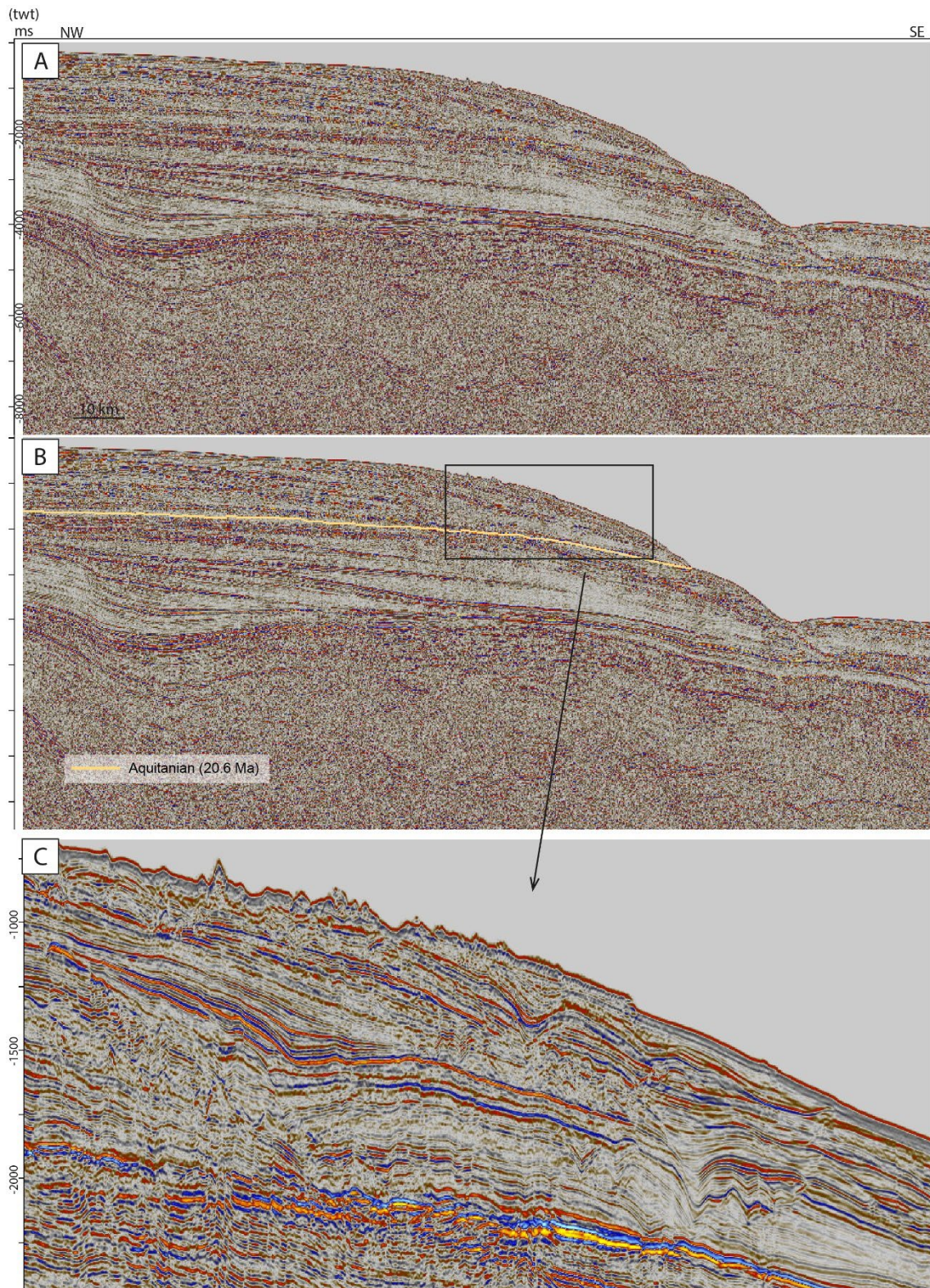




325 Figure 8. Central Pelotas Basin seismic section located as “S3” in Figure 2A. A)  
326 Dip section 0228-0317 without interpretation. B) Dip section 0228-0317 with  
327 reflector interpretation corresponding to erosive and flooding surfaces identifiable  
328 from stratal terminations (white arrows): Ypresian (50 Ma) erosive surface,  
329 Eocene-Oligocene (34 Ma) erosive surface, and Rupelian (30 Ma) flooding  
330 surface.

331

332 The seismic dip sections supported interpretations of depositional  
333 features. A thick package of reflectors is highlighted occurring above the  
334 Aquitanian reflector (20.60 Ma) (Fig. 9A). This package presents high amplitude  
335 reflectors, with varied lateral continuity and internal reflection pattern. This occurs  
336 because in the same interval the reflectors show stratified parallel to subparallel  
337 internal reflection pattern, which changes laterally to a divergent basal-concave  
338 internal reflection pattern that forms a channel geometry and channel fill. In the  
339 same level this channel geometry changes laterally to a convex external form  
340 with stratified aggradational internal reflection pattern, with a mounded geometry  
341 (Fig. 9B).



342 Figure 9. Northern Pelotas Basin seismic sections located as “S2” in Figure 2A.  
 343 A) Dip section 0231-1349 without interpretation. B) Dip section 0231-1349 with  
 344 Aquitanian (20.60 Ma) reflector. C) Detailed section showing the interval where  
 345 the reflectors change the parallel-subparallel patterns to a localized channel-type  
 346 geometries.

347

## 348 5. DISCUSSION

349           The results indicate that the Cenozoic filling history of the Pelotas Basin  
350 shows temporal correlations with large scale paleogeographic and paleoclimatic  
351 events. Integrating the results of the present work with available data of the  
352 regional geology and Cenozoic history, we can construct a model of tectonic,  
353 glacioeustatic and climatic controls on sedimentation in the basin.

354

### 355 5.1 Eocene (50 Ma), Oligo-Miocene (27 Ma) and Pliocene (2.5 Ma) Uplifts

356           The backstripping results from all analysed wells show a decrease in  
357 tectonic subsidence at 50 Ma (Ypresian; Figs. 4 and 10F). This ubiquitous signal  
358 indicates basinwide uplift. Associated with the uplift, a hiatus is evident from flat  
359 sections in the total accommodation curves (Figs. 4 and 10G). The seismic  
360 interpretation shows an accompanying widespread erosional surface (Fig. 8B).  
361 The uplift, hiatus, and erosion all occur during a period of increasing South  
362 Atlantic seafloor spreading rates (Figs. 5 and 10D). A comparable set of  
363 associations is seen in Chattian times (27 Ma). The tectonic subsidence  
364 component decreases in all of analysed wells and is accompanied by hiatuses.  
365 The basinal depocenter shifts from the basin's central sector to its southern  
366 sector, as revealed by a significant change between the Oligocene and Miocene  
367 described in the seismic interpretation. The South Atlantic's spreading rate  
368 reaches its highest speed of 2.9 cm/year. After Chattian (27 Ma), the following  
369 change in the model subsidence curve is a decrease that occurred only at  
370 Piacenzian in the Pliocene (2.5 Ma).

371 Koutsoukos (1982), Gomide (1989), and Anjos-Zerfass et al. (2014) all  
372 identified Ypresian hiatuses in wells along the Rio Grande do Sul coast (Fig. 10B).  
373 An age progression amongst these hiatuses suggests that deposition stopped  
374 earlier in the south than in the north within the basin (Anjos-Zerfass et al., 2008).  
375 At the same time, the data of Abreu and Anderson (1998) show an isolated  
376 eustatic fall superimposed on a period of relatively higher values (Fig. 11D). The  
377 highest Cenozoic global average temperatures are reached in this interval,  
378 culminating in the Early Eocene Climatic Optimum, correlating with the later uplift  
379 events we have characterized (Fig. 10E). A comparable occurrence of data is  
380 reported in Oligo-Miocene times. Anjos-Zerfass et al. (2014) where identified  
381 further hiatuses from Upper Oligocene (Chattian) and Lower Miocene (Aquitainian  
382 and Burdigalian) well data (Fig. 10B). The data from Abreu and Anderson (1998)  
383 show a considerable eustatic fall in this interval (Fig. 10C).

384 Along the Cenozoic, the southern South America paleogeography  
385 changed. During the Ypresian, the southern tip of South America remained  
386 connected to the Antarctic Peninsula by a land bridge where the Drake Passage  
387 and the Scotia Sea are located today (Fig. 11A and 11F). However, at  
388 approximately 50 Ma, the increase in the separation rate between the South  
389 American and Antarctic plates caused rotational and compressional movements  
390 in southern South America, resulting in deformation in the Tierra del Fuego region  
391 (Livermore et al., 2007). A comparable occurrence is reported in Oligo-Miocene  
392 times, when the increase in the separation rate between South American and  
393 Antarctic allowed a full-depth route between Pacific and Atlantic oceans (Fig 11D  
394 e 11E).

395           Northward, the Southern and Central Andes are marked by significant  
396 compressional, neutral, and extensional regimes changes during the Cenozoic.  
397 Such changes are related to heterogeneities in the speed and angle of subduction  
398 along the convergent plate boundary (Carrapa et al., 2014, Horton, 2018). The  
399 Southern Andean sector was configured by the predominance of an extensional  
400 regime possibly related to slab rollback in a retreating subduction system (Horton,  
401 2018). On the other hand, with a lower angle and subduction rate, the Central  
402 Andean sector was dominated by a compressional regime. In the same way that  
403 between southern South America and Antarctica, the Central Andes also showed  
404 pulsed changes (Horton, 2018, Quade et al., 2014). Cenozoic record three main  
405 uplift pulses in the Central Andes, mainly in the NW region of Argentina: a) the  
406 Domeyko Cordillera and the Puna Plateau at 50-40 Ma, b) the East Cordillera at  
407 25-15 Ma, and more recently, c) the Sub-Andes starting at 5 Ma. These three  
408 pulses are directly related to the increases in the subduction speed rate of the  
409 Nazca Plate under South American Plate (Fig. 10G) (Pardo-Casas and Molnar,  
410 1987, Quade et al., 2014).

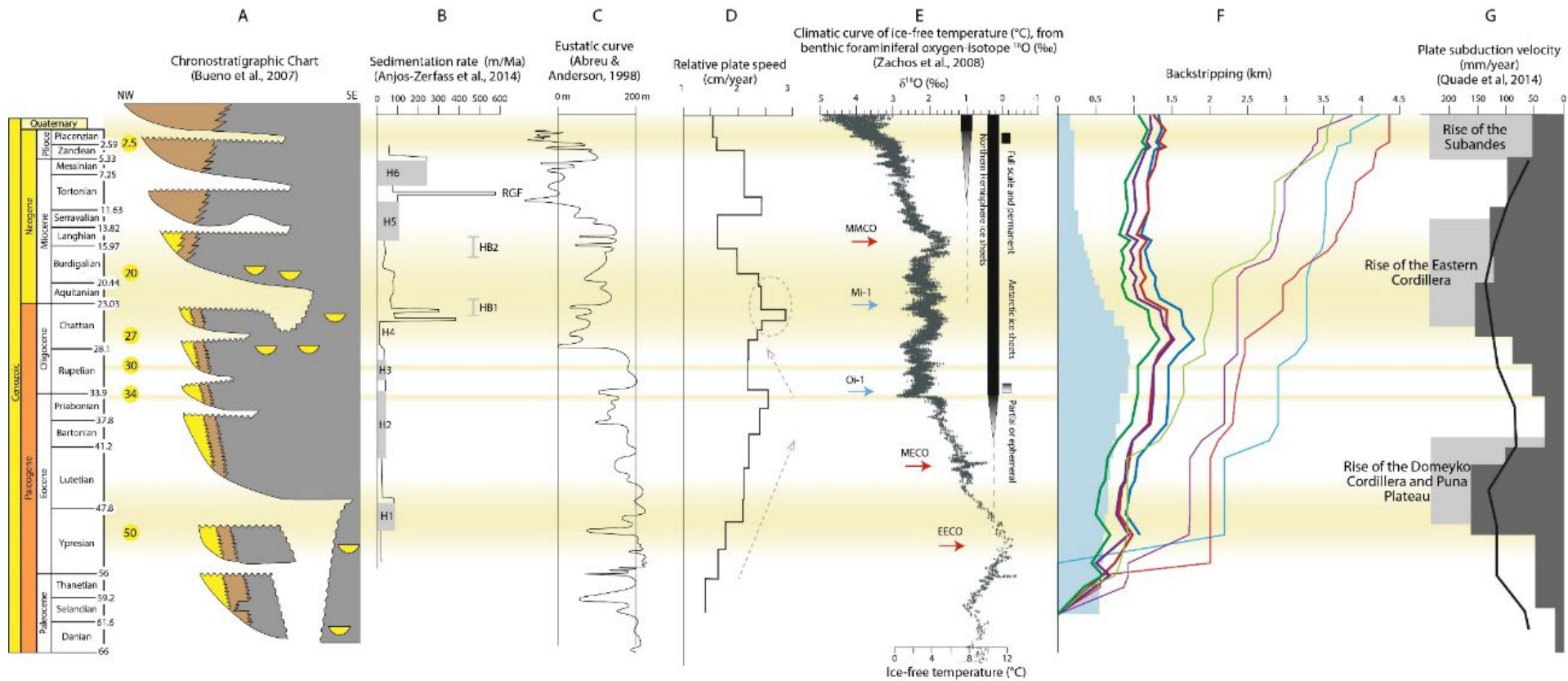
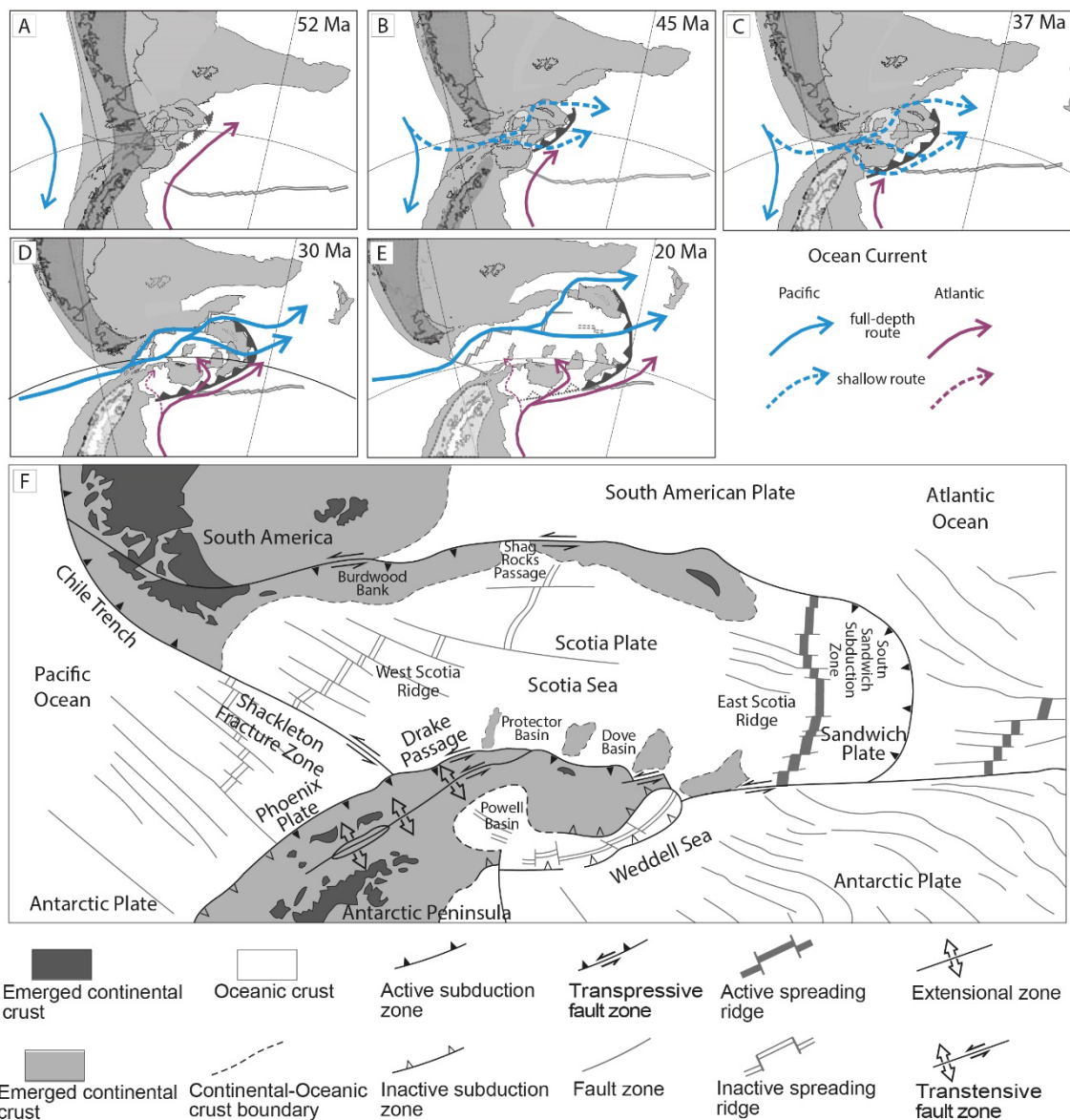


Figure 10. Compilation of Cenozoic geological events. A) Pelotas basin chronostratigraphic chart from Bueno et al. (2014). Yellow circles mark events identified in the Pelotas Basin in this work. B) Sedimentation rates from Anjos-Zerfass et al. (2014). Grey areas represent the hiatuses identified in two different wells. C) Eustatic curve from Abreu and Anderson (1998). D) Speed of the South American plate relative to the African plate in latitudes corresponding to the Pelotas Basin (based on isochrons compiled by Seton et al. (2014)). E) Climatic curves from Zachos et al (2008) stacked benthic foraminiferal oxygen isotope curves. Oceanic  $\delta^{18}O$  changes directly due to temperature fluctuations; high values represent cold climates, while lower values indicate a warm climate. MMCO = Middle Miocene Climatic Optimum, Mi-1 = , Oi-1 = , MECO = Mid-Eocene Climatic Optimum, EECO = Early Eocene Climatic Optimum. F) Curves resulting from the backstripping. The thicker curves represent the tectonic component, and the thinner curves represent the total accommodation. Wells indicated by colour: green = 2-RSS-1-RS, purple = 1-RSS-2-RS, red = 1-SCS-2-SC, blue = 1-RSS-3-RS. The light blue area represents paleobathymetry. G) Values of the Nazca Plate subduction speed (Pardo-Casas and Molnar, 1987) and their correlation with uplift events in the Central Andes (Quade et al., 2014).

422 From Figures 10 and 11, it is possible to observe correlations between a)  
 423 Pelotas Basin tectono-sedimentary events reported in this work (uplifts observed  
 424 in the backstripping, the erosive surface described in seismic interpretation,  
 425 fluctuations to the increase in relative South American plate speed), b) Pelotas  
 426 Basin previous literature (hiatuses and eustatic falls reported by Anjos-Zerfass et  
 427 al. (2014) and Abreu and Anderson (1998)) and c) Cenozoic history regional-  
 428 scale data of Andes and southern South Atlantic.



429 Figure 11. Development (A, B, C, D and E; adapted from Livermore et al., 2007  
430 and Livermore et al., 2005) and current state (F, adapted from Galindo-Zaldívar  
431 et al., 2014) of the Drake Passage and Scotia Sea.

432

433         Theses correlations enable to infer cause-and-effect relationships  
434 between the sedimentary record of subsidence and uplift in the Pelotas Basin  
435 and the tectonics of the Central Andes, precisely the Eocene, Oligo-Miocene, and  
436 Pliocene uplifts. Tectonic Andean uplift in the South American plate western  
437 margin may have had a long wavelength component that affected the Pelotas  
438 Basin further east. Thus, the cause of the uplift events and tilting in the Pelotas  
439 is associated with the transmission of subduction-related compressional  
440 stresses.

441         Additionally, since the Andean Central uplifts (Fig. 10G) and the  
442 divergence rate increases between South America and Antarctica (Fig. 11)  
443 occurs under similar Eocene and Oligo-Miocene time spans (Fig 10), both whilst  
444 South Atlantic spreading rate is high or, at least accelerating, it is possible to infer  
445 the interaction between South American, Nazca and Antarctic plates in response  
446 to SAMOR spreading rate. The clear link appears to be an increase in the rate of  
447 motion of the South American plate over the mantle. Considering the speed and  
448 angle heterogeneities in the subduction along the South American plate  
449 convergent boundary (Carrapa et al., 2014, Horton, 2018) it is expected that each  
450 tectonic domain results different responses. In this case a) uplift pulses  
451 responding to compressional domain in Central Andes and b) divergence  
452 increase pulses between South America and Antarctic responding to extensional  
453 domain in southern tip of South America and Southern Andes.



454

## 455 5.2 Oligocene Glaciation (34 Ma)

456         The model subsidence curves show lower Oligocene stability in both the  
457 tectonic and total components (Figs. 4 and 10F). This stability indicates a low  
458 generation of accommodation space, leading to sedimentation hiatuses (Fig 10B)  
459 and the formation of a broad and continuous erosional surface in the basin (Fig.  
460 8). These events accompanied a eustatic sea level drop, according to the study  
461 of Abreu and Anderson (1998) (Fig. 10C), and the early Oligocene glaciation  
462 event (Oi-1) recorded the  $\delta^{18}\text{O}$  ratio proxy for global temperature and ice volume,  
463 and other proxies for atmospheric  $\text{CO}_2$  concentration (Zachos et al., 2008, Wright,  
464 2009, Mudelsee et al., 2014) (Fig. 10E). Oi-1 occurred just 3 Myr after Livermore  
465 et al (2007) estimate for the time at which deep water mixing between the South  
466 Atlantic and Pacific Oceans would have been possible across the widening Drake  
467 Passage (Fig. 11C).

468         The most plausible explanation for the temporal correlation between the  
469 Oi-1 events and low accommodation space generation and hiatus development  
470 in the early Oligocene of the Pelotas Basin is eustatic sea level fall related to the  
471 rapid growth of the Antarctic ice sheet. In other words, in the Pelotas Basin, the  
472 beginning of Antarctic glaciation is expressed by an erosive horizon visible in the  
473 seismic sections, caused by a eustatic fall resulting from the advance of a polar  
474 ice mass.

475

## 476 5.3 Flooding during the Oligocene (30 Ma)

477 In the middle Oligocene, at approximately 30 Ma, both sets of curves  
478 resulting from the backstripping analysis display an increase in subsidence rate  
479 (Figs. 4 and 10F). Additionally, this time sees the deepest paleobathymetry  
480 develop (Figs. 4 and 10F), whilst South American plate speed decelerates and  
481 stabilizes (Figs. 5 and 10D), and the seismic sections reveal development of a  
482 widespread flooding surface (Fig. 8). At the same time, Abreu and Anderson  
483 (1998) eustatic curve shows the end of an expressive and extensive period of  
484 high global sea level (Fig. 10C). Vigorous deepwater flow (1000 m to 3000 m)  
485 would have first become possible through Drake Passage to occur permanently  
486 between the South Atlantic and the Pacific Oceans at around 30 Ma with the  
487 development of an organized mid-ocean ridge in the west Scotia Sea, the West  
488 Scotia Ridge (Fig. 11D and 11F) (Livermore et al., 2007). As consequence of the  
489 South American plate speed decelerates, this time span occurs between the  
490 highest rates of Nazca Plate subduction and consequent Central Andes Uplifts.

491 A plausible explanation for the temporal correlations between the results  
492 of increase in the subsidence, deepest paleobathymetry, South American plate  
493 speed decelerating, and a flooding surface is associated with Andean Uplifts and  
494 its triggering factors. The Pelotas basin may be affected by the intumescence of  
495 the oceanic crust when the South American Plate accelerate. Additionally, when  
496 the uplifts activity decreases, the sediment supply tends to proportionally  
497 decreases. These two factors contribute to the occurrence of transgression in the  
498 Pelotas Basin observed in the seismic as a flooding surface.

499

500 5.4 Antarctic Circumpolar Current (ACC) (20 Ma)

501 In the Miocene sequence of the Pelotas Basin we identified elongated  
502 mounded drifts that accumulated during sedimentation affected by contour  
503 currents (e.g. Rebesco et al., 2014). These thick contourite packages are  
504 recognized in strata dating from the Aquitanian (Fig. 9) to present. Identifying the  
505 drifts as contourites is possible due to the occurrence of channel-shaped and  
506 mounded reflection patterns visible in dip seismic sections, which means that  
507 elongated shapes occur parallel to the platform.

508 A significant reorganization of spreading centres in the Scotia Sea  
509 occurred at around 17-20 Ma (Livermore et al., 2007; Eagles and Jokat, 2014).  
510 Spreading rates in the West Scotia Ridge declined sharply as a new ridge started  
511 to operate in the East Scotia Sea (Fig. 11E). The widening of a deep passage  
512 between Burdwood Bank and Shag Rocks at that time established a pattern of  
513 more intense ACC flow similar to today's (Fig. 1) (Livermore et al., 2007).

514 The intensified ACC and permanently glacial Antarctica promoted steeper  
515 thermal and salinity gradients between the pole and the equator, leading to more  
516 vigorous thermohaline circulation. As part of this, the ACC interacts directly with  
517 the Malvinas and Brazil Currents in the Pelotas Basin (Fig. 1). Thus, the  
518 contourites of the Pelotas Basin resulted from the sum of the events that  
519 established the modern-style ACC.

520

## 521 5.5 Rio Grande Submarine Fan (RGF)

522 The RGF is a well-known geofom in the Pelotas Basin (Zalan, 2005; Rosa,  
523 2007; Miller et al, 2015; Chemale et al., 2020). It is visible in satellite images as

524 a protruberance in the continental shelf break in the southern portion of the basin  
525 (Fig. 1). Despite being called a cone and characterized as a deepwater  
526 submarine fan (Rosa, 2007), it does not show radial geometry. Away from the  
527 shelf break, its long axis is aligned SW-NE. The RGF reaches down to depths of  
528 4000 m on the continental rise (Kowsmann and Costa, 1974; Rosa, 2007;  
529 Castillo-López, 2009). Its maximum width is 400 km, it has an area of 28,900 km<sup>2</sup>  
530 and a volume of  $5.02943 \times 10^{12}$  m<sup>3</sup>, owing to the very thick sedimentary succession  
531 of more than 5000 m (Rosa, 2007; Castillo-López, 2009). The RGF is composed  
532 of sedimentary wedges that thicken towards the shelf break, giving rise to large  
533 progradational sequences (Castillo-López, 2009). RGF sedimentation is  
534 predominantly pelagic, giving rise to a fan composed of shale, clay, silt and  
535 sparse intercalations of sandy lobes (Alves, 1977; Rosa, 2007). The Rio de la  
536 Plata (Fig. 1) delivers most of the fan's sediments, some of which are siliciclastic  
537 sediments of Andean origin, but there are smaller contributions of diverse  
538 Phanerozoic and Neoproterozoic clasts from sources around the Paraná Basin  
539 and crystalline basement of Sul-Riograndense Shield (Fig. 1; Martins et al., 1972;  
540 Urien et al., 1978; Zouain, 1986; Castillo-López, 2009, Chemale Jr. et al., 2021,  
541 Rizzi et al., 2022). According to Fontana (1996), Zalan (2005) and Rosa (2007),  
542 one of the main structural elements is a major listric detachment linking an  
543 upslope population of extensional faults to a population of compressive faults at  
544 the slope toe, all of which can be related to wholesale gravity sliding of the fan  
545 sequence down the detachment. This structural complexity is interpreted as  
546 resulting from a detachment surface

547 Changing reflector characteristics between the basin's Oligocene and  
548 Miocene sections reveal a depocentre shift (Fig. 6) as described in the seismic  
549 interpretation. The onset of this change occurs approximately around the  
550 Chattian reflector (27 Ma) (Fig. 6). A wedge-shaped macroform developed into  
551 Miocene times (Fig. 7), whilst the depocentre moved away from the Mostardas  
552 Low towards the southern reaches of the basin where the RGF is located today.  
553 The 27 Ma reflector thus marks the onset of RGF sedimentation. A reduction in  
554 reflector amplitudes (Fig. 6) above the Oligocene-Miocene transition of the RGF  
555 marks a change to deposition of thick units of fine-grained sediments. These  
556 events started at the same time as an uplift pulse in the Eastern Andean  
557 Cordillera (Fig. 10G) (Quade et al., 2014). The Eastern Cordillera is a close  
558 segment of the Andes to the Pelotas Basin (Fig. 1), so that we suggest a link  
559 between the uplift and the depocentre shift, via compressional reactivation of old  
560 basement structures in the basin. The onset of deposition at the RGF may  
561 therefore ultimately have had a tectonic cause.

562 Submarine fans are known to have a very high sedimentation rate. Anjos-  
563 Zeffass et al. (2014) estimated rates of up to 600 m/Myr for the RGF. This is much  
564 higher than is typical for passive margins, where average rates of 20-100 m/Myr  
565 for siliciclastic shelves or 50-500 m/Myr for lower fans are to be expected  
566 (Einsele, 1992). Enhanced sediment supply to the RGF in the Pelotas Basin is  
567 consistent with the idea of a tectonic trigger from Miocene Andean uplift. In  
568 addition, rapid RGF sedimentation can be expected to have enhanced  
569 subsidence rates in the Pelotas Basin.

570           The increasing rate of Miocene sediment transport after the onset phase  
571 of the RGF is frequently attributed to Sul-Riograndense Shield by paleodrainages  
572 and Rio de la Plata (Martins et al., 1972; Urien et al., 1978; Zouain, 1986; Castillo-  
573 López, 2009). However, the processes and mechanisms controlling this increase  
574 remain to be detailed. One possible factor, as discussed above, might have been  
575 the broadening of the ACC and increased vigour of thermohaline circulation  
576 related to widening of Drake Passage since 20 Ma (Fig. 11). That these events  
577 affected the Pelotas Basin is well demonstrated by the onset of contourite  
578 sedimentation in response to slope-parallel transport via the cold Malvinas and  
579 warm Brazil currents (Fig. 1). These currents meet and mix in the Pelotas Basin  
580 (Chemale et al., 2021), where their contrasting temperatures have been  
581 postulated to enhance clay deposition by flocculation (Addai-Mensah, 2007).

582           This study thus establishes that the RGF is a compound megaform built in  
583 two distinct phases. Phase 1 corresponds to the package between the Chattian  
584 (27 Ma) and Aquitanian (20 Ma) reflectors. The Chattian (27 Ma) reflector stands  
585 out as the base of a progradational package identified by its wedge geometry and  
586 onlap (top) and downlap (base) reflector terminations. The wedge represents  
587 transport and deposition of sediments outwards into the basin, perpendicular to  
588 the platform break, related to the supply of sediments from the continental interior  
589 via its margin. Phase 2 corresponds to the thick package of reflectors above the  
590 Aquitanian (20 Ma) reflector. This package records longitudinal transport by  
591 currents running parallel to the shelf break. From 20 Ma onwards, with the onset  
592 of the ACC, the interaction between cold and warm currents may have played an  
593 important role in the deposition of sediments in the RGF area.

594

## 595 6. CONCLUSIONS

596           This work was performed based on the generation of backstripping results,  
597 South Atlantic spreading rate analysis, seismic interpretation in the Pelotas  
598 Basin, and published studies of the basin's sedimentation rate, paleobathymetry  
599 and biozonation. The results were interpreted in the context of published  
600 information about South American regional geological events, global and regional  
601 climatic, oceanographic, tectonic, and glacioeustatic influences on sedimentation  
602 in the basin.

603           Central and Southern Andean tectonics exerted recurrent influences on  
604 the Pelotas Basin. Eocene, Oligo-Miocene and Pliocene pulses of Andean  
605 deformation all correlate with tectonic uplift episodes revealed by our  
606 backstripping analysis, which corresponded to compressive events in the Central  
607 Andes. On the other hand, the opening of passages and straits resulted from  
608 divergence increase pulses between South America and Antarctic corresponded  
609 to extensional domain in southern tip of South America. Since these phenomena  
610 occurred whilst South Atlantic spreading rate is high or, at least accelerating, it is  
611 possible to infer the interaction between South American, Nazca and Antarctic  
612 plates in response to SAMOR spreading rate.

613           The importance of the gradual widening of the Drake Passage and  
614 consequent ACC is also evident in the sedimentation and subsidence history of  
615 the Pelotas Basin. These processes were responsible for generating  
616 glacioeustatic interference in the Oligocene section of the basin. Additionally, the  
617 widening of Drake Passage also conditioned the South Atlantic oceanographic

618 setting so that contour currents, the Malvinas and Brazil currents, were influenced  
619 and played fundamental roles in sediment transport and deposition in the Pelotas  
620 Basin.

621 The genesis of the RGF is interesting because it can be related to both  
622 Andean and gateway-oceanographic forcings: 1) at 27-20 Ma, basinward  
623 transport and sedimentation sourced from the Sul-Riograndense Shield and  
624 Phanerozoic Paraná Basin, improved by the Andean-influenced uplift and  
625 consequent depocenter change and 2) from 20 Ma to present, in response to a  
626 long-slope sediment transport by contour currents and sedimentation facilitated  
627 by their mixing.

628 It is essential to highlight the tectonic compressive influence in the passive  
629 margin basins sedimentation. Finally, the cause-and-effect correlation between  
630 climate and tectonics becomes more robust with integrating methods and a  
631 systematic quantitative application and statistical treatment of data.

632

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644

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858 Supplementary Material SM1

Epoch	Martini (1971)	Antunes et al. (2004)	
PLEIST.	NN21	N720	
	NN20		
PLIOCENE	NN19	N710	
	NN18	N670	
	NN17		
	NN16	N660	
	NN15	N650	
	NN14		
	NN13		
	NN12		
MIOCENE	NN11	N640	
	NN10	N635	
	NN9	N630	
	NN8		
	NN7	N620	
	NN6	N590	
	NN5	N580	
	NN4	N570	
	NN3	N560	
	NN2	N550	
	NN1	N547	
	OLIGOCENE	NP25	N545
		NP24	N540
		NP23	N530
NP22		N520	
NP21		N510	
NP20		N505	
EOCENE	NP19	N470	
	NP18	N460	
	NP17	N450	
	NP16	N447	
	NP15	N440	
	NP14	N437	
	NP13	N430	
	NP12	N420	
	NP11		
	NP10	N410	
	PALOCENE	NP9	N350
NP8		N340	
NP7			
NP6			
NP5		N330	
NP4		N307	
NP3			
NP2		N305	
NP1			

859

860 Biozonation of calcareous nannofossils from the Brazilian margin of Antunes et  
 861 al., (2004) correlated with international biozonation of calcareous nannofossils by  
 862 Martini (1971).

863

864 Supplementary Material SM2

	1-RSS-3-RS	1-RSS-2-RS	2-RSS-1-RS	1-SCS-2-SC	1-SCS-3B-SC
N720	Shale	Shale	Shale	Shale	Shale
N710					
N670	Shale	Shale	Shale	Shale	Shale
N660					
N650		Shale	Shale	Shale	Shale
N640	Shale	Shale	Shale	Shale	Shale
N635					
N630	Shale	Shale	Shale	Shale	Shale
N620					
N590	Shale	Shale	Shale	Shale	Shale
N580	Shale	Shale	Shale	Shale	Shale
N570	Fine Sandstone	Shale	Shale	Shale	Shale
N560	Shale	Shale	Shale	Coarse sandstone	Shale
N550			Shale	Shale	Shale
N547					
N545					
N540			Shale	Shale	Shale
N530			Shale	Shale	Shale
N520	Fine Sandstone		Shale		Shale
N510	Fine Sandstone	Fine Sandstone	Shale	Fine Sandstone	
N505					
N470			Shale	Shale	Shale
N460	Fine Sandstone	Fine Sandstone	Shale	Shale	Shale
N450	Fine Sandstone	Fine Sandstone	Shale	Shale	Shale
N447					
N440					
N437					
N430			Shale		Shale
N420	Fine Sandstone	Fine Sandstone	Shale		Shale
N410			Shale	Shale	Shale
N350		Shale	Shale		
N340					

865 Lithological log

866

### III. Manuscrito 2

#### ← Submissions Being Processed for Author

Page: 1 of 1 (1 total submissions)

Results per page 10

Action	Manuscript Number	Title	Initial Date Submitted	Status Date	Current Status
<a href="#">Action Links</a>		Overpressure modeling in the Rio Grande Submarine Fan, Pelotas Basin: the case study of a gravitational fold and thrust belt in the South Atlantic Brazilian Margin	03/08/2022	03/08/2022	Submitted to Journal

Page: 1 of 1 (1 total submissions)

Results per page 10

1 **Overpressure modeling in the Rio Grande Submarine Fan, Pelotas Basin:**  
2 **the case study of a gravitational fold and thrust belt in the South Atlantic**  
3 **Brazilian Margin**

4

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14

15 Abstract

16 Overpressure in sedimentary basins is a phenomenon potentially linked to  
17 geohazards, existence of hydrocarbons, and key to unravel passive margins  
18 evolution. Its occurrence can be caused by autochthonous and allochthonous  
19 factors such as regional tectonics, undercompaction due to high sedimentation  
20 rate, clay transformation, and hydrocarbon generation. In the Brazilian South  
21 Atlantic margin, the Rio Grande Submarine Fan is a well-known large-scale  
22 fanlike feature on the continental slope, presenting a complex structural setting

23 caused by gravitational collapse along a detachment surface. This feature  
24 provides a natural laboratory to study overpressure generation. This study aims  
25 to investigate, for the first time quantitatively, the overpressure occurrence in the  
26 Rio Grande Submarine Fan. Basin numerical modeling of temperature and  
27 overpressure was performed using seismic and well data obtained from the  
28 National Agency for Petroleum, Natural Gas and Biofuels (ANP) and the  
29 TemisFlow suite. The results provide crucial information on the dimension,  
30 intensity of the overpressure zone, autochthonous and allochthonous processes,  
31 and factors for the overpressure occurrence. The current temperature and  
32 overpressure configuration ranges from 6°C to 151°C and 0 MPa to 39 MPa,  
33 presenting a topward values decrease, except near the detachment zone where  
34 abrupt changes occur. Deposition of dominantly fine-grained sediments under  
35 high sedimentation rates and clay transformations, Andean tectonism, and  
36 oceanic currents patterns play a significant role to development of the  
37 overpressure, as well as the gravitational collapse of the Rio Grande Submarine  
38 Fan.

39 Keywords: numerical modeling; passive margin; clay transformation;  
40 undercompacted shale; detachment

41

## 42 1. Introduction

43 Fluid overpressure is an important phenomenon for understanding  
44 continental margins evolution, hydrocarbon exploration, and geohazards (Morley  
45 et al., 2011, Reis et al., 2016, Souza et al., 2020). In deep marine environments,  
46 overpressure is an important triggering mechanism for mass transport, which  
47 rework previous sedimentary deposits and activate sin-sedimentary faults (Bolton  
48 et al., 1998). Overpressure is defined as any pore pressure that exceeds the  
49 hydrostatic pressure in the sedimentary column. Consequently, it changes the  
50 sediment strength, and potentially helps destabilization of the sedimentary  
51 package leading to gravitational collapse (Dickinson, 1953). Overpressure may  
52 be originated by autochthonous or allochthonous processes to the system,  
53 including regional tectonics, undercompaction due to high sedimentation rate,  
54 clay transformation, and hydrocarbon generation (Law et al., 1983; Bruce, 1984;  
55 Burrus, 1998; Swarbrick and Osborne, 1998; Law and Spencer, 1998; Grauls,  
56 1999, Souza et al, 2020). Overpressure is commonly associated with large  
57 depocenters in deepwater settings. It may be an essential feature to weaken the  
58 sedimentary package and cause the downslope gravitational collapse of thick,  
59 fine-grained sequences lacking salt deposits (Rowan et al., 2004).

60 There is a large-scale fanlike feature on the continental slope of the  
61 Brazilian South Atlantic margin called Rio Grande Submarine Fan (RGF; Martins  
62 et al 1972, Miller et al., 2015). It consists of a thick (4 km) mud and shale  
63 package, deposited since the Miocene (Fontana, 1996, Abreu, 1998, Rosa, 2007,  
64 Anjos-Zerfass et al., 2014, Chemale Jr. et al., 2021). RGF has characteristics  
65 related to overpressure: a large proportion of fine-grained sediments, possible  
66 hydrocarbon generation, and a high sedimentation rate (Anjos-Zerfass et al.,

67 2014). Additionally, previous studies about the RGF structural setting show  
68 different structural features related to gravity tectonics, including a basal  
69 detachment surface and an upper slope extensional and lower slope  
70 compressional domains (Fontana, 1996, Abreu, 1998, Zalan, 2005, Rosa, 2007,  
71 Anjos-Zerfass et al., 2014, Chemale Jr. et al., 2021). In the case of a fine-grained  
72 siliciclastic package, like the RGF, the cause for the gravitational collapse above  
73 the detachment surface is poorly constrained. Overpressured shales such as in  
74 the Amazon Fan induce such process (Cobbold et al., 2004; Oliveira, 2005;  
75 Perovano et al., 2011, Souza et al., 2020). However, the existence, distribution,  
76 and magnitude of the overpressure in the RGS is still speculative.

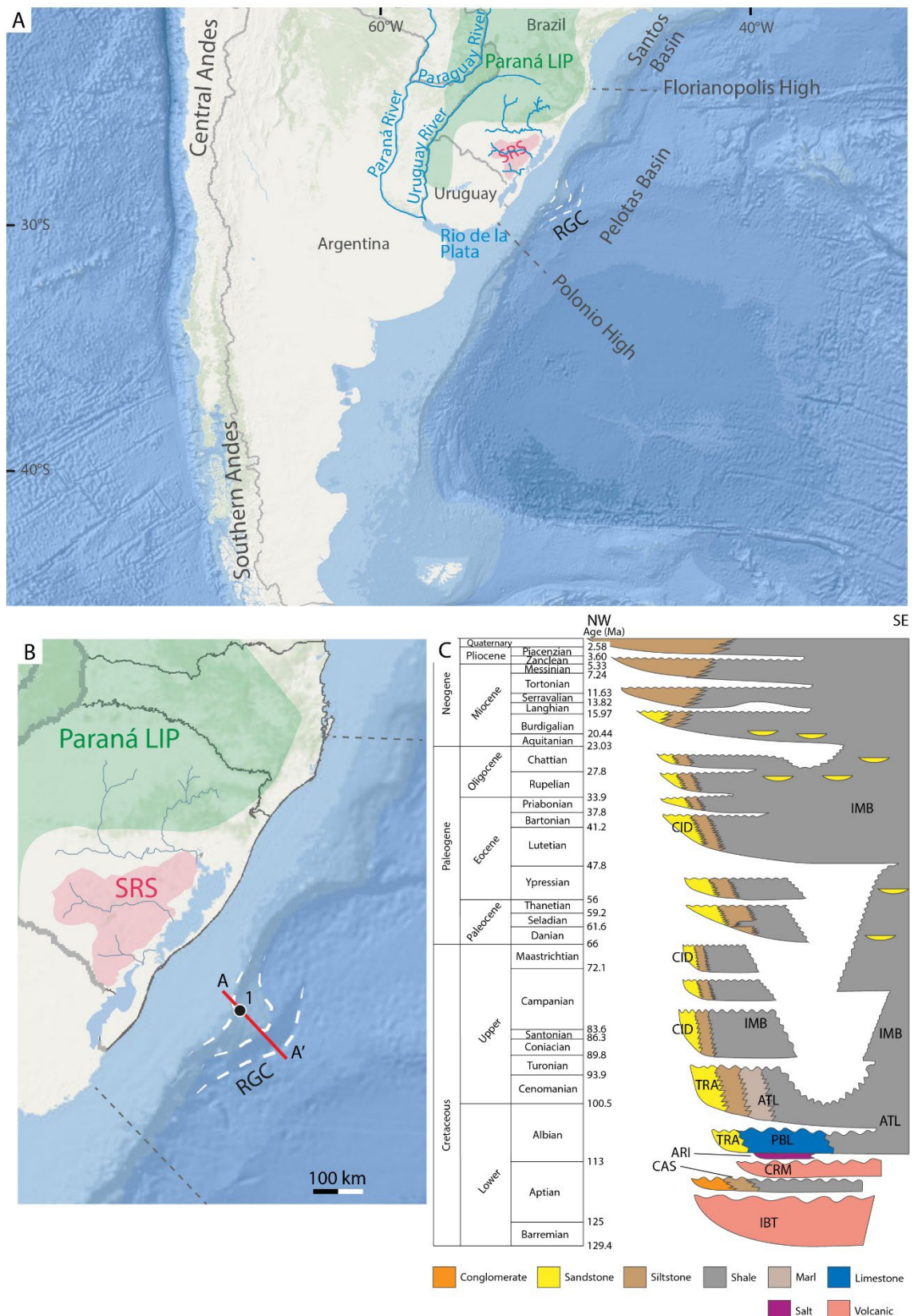
77 This paper aims to investigate the overpressure occurrence in the RGF  
78 applying basin modeling techniques based on 2D exploration seismic sections  
79 and well data. For the first time, this study identifies the dimensions and intensity  
80 of the overpressured zone, and possible autochthonous and allochthonous  
81 processes involved on its development. This paper also considers the hypothesis  
82 that an overpressured zone weakened the sedimentary package, creating a  
83 detachment surface leading to gravitational collapse and the complex structural  
84 domains of the RGF. The results contribute to the understanding of overpressure  
85 occurrence and gravitational collapse of deep water depocenters, which have a  
86 direct impact on hydrocarbon exploration and geohazard risk assessment (Zalan,  
87 2005, Reis et al., 2016, Souza et al., 2020).

88

89 2. Geological setting and study area



90           The RGF is located in the Pelotas Basin (Fig 1A e Fig 1B), on the southern  
91 Brazilian Atlantic passive margin, which resulted from the Gondwana breakup.  
92 This basin covers 250,000 km<sup>2</sup> and an emerged segment with an area of 40,000  
93 km<sup>2</sup> constitutes a coastal plain (Anjos-Zerfass, 2009). The Pelotas Basin is limited  
94 to the north by the Santos Basin through the Rio Grande Fracture Zone (Fig. 1A).  
95 The southern limit is the Polonio High, separating the Pelotas Basin from the  
96 Punta del Este Basin (Stica et al., 2014) (Fig. 1A). The thickness of the basin  
97 reaches up to 12 km from Barremian to Recent. According to Bueno et al. (2007),  
98 clastic fans and deltas spread over the distal deposits from the Oligocene  
99 onwards, forming a large regressive sedimentary wedge in the Neogene (Fig.  
100 1C). In this context of increased sedimentary input, the RGF formation took place,  
101 which still has aspects related to its genesis under discussion (Abreu, 1998,  
102 Bueno et al., 2007, Rosa, 2007, Chemale et al, 2021).

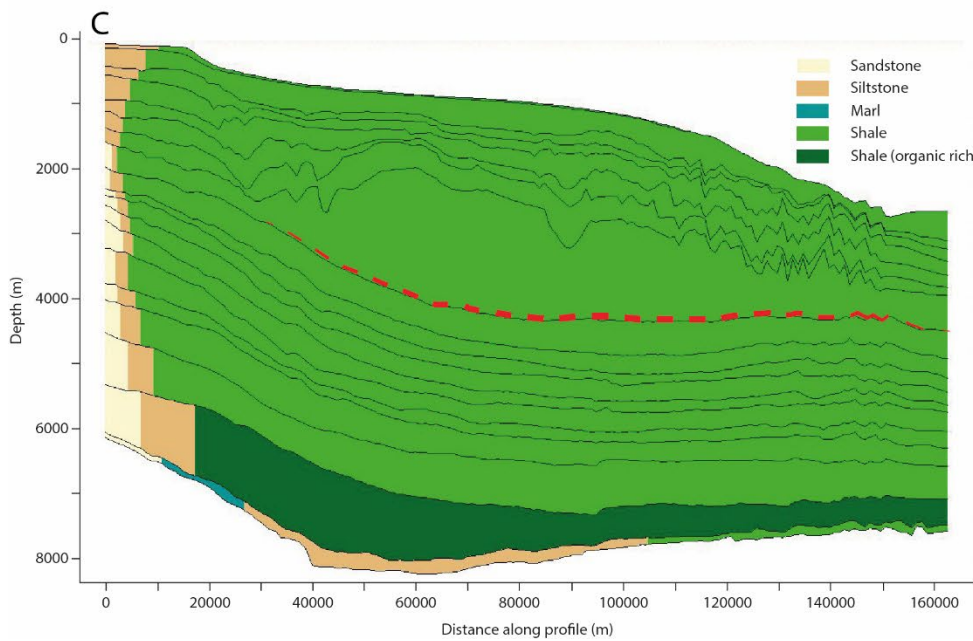
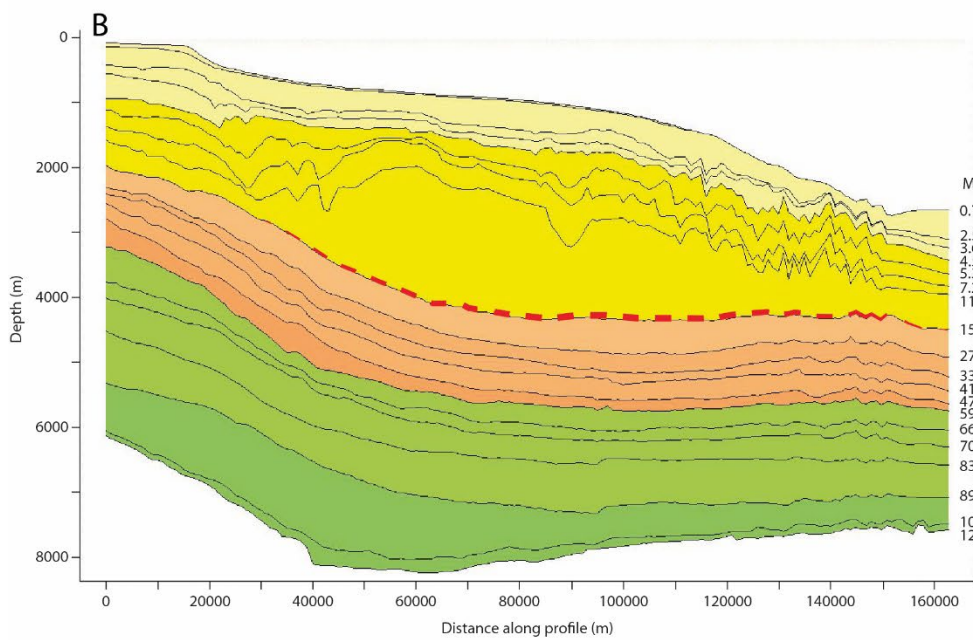
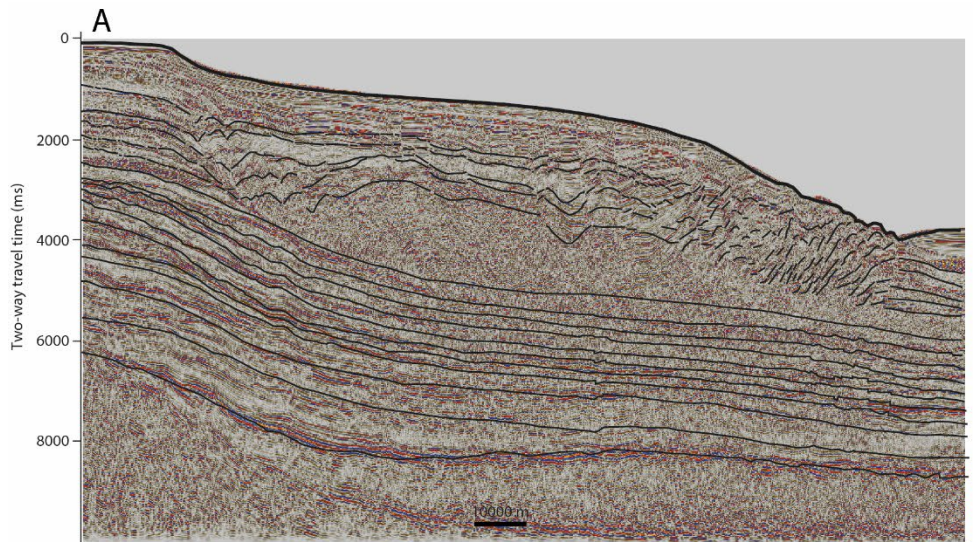


103 Figure 1. Study area and geological context. A) Map of southern South America  
 104 showing the main structures on land and in the southern Atlantic Ocean and the  
 105 location of the Pelotas Basin with its northern and southern limits (dashed grey

106 lines). Areas: SRS = Sul-Riograndense Shield; RGF = Rio Grande Submarine  
107 Fan, highlighted by white dashed lines. B) Map of the Pelotas Basin with  
108 interpreted seismic section (A-A' = J99B194) and well (1 = 1BRSA61RSS). C)  
109 Chronostratigraphic chart of the Pelotas Basin adapted from Bueno et al. (2007).  
110 Formations: IBT = Imbituba, CAS = Cassino, CRM = Curumim, ARI = Ariri, PBL  
111 = Porto Belo, TRA = Tramandaí, ATL = Atlântida, CID = Cidreira, IMB = Imbé.  
112

113         The RGF is a large-scale fanlike feature in the Pelotas Basin continental  
114 slope and is the main depocenter since the Miocene (Martins et al 1972 Miller et  
115 al., 2015) (Fig. 1B). The sedimentation consists of a thick mud and shale  
116 package. Two main sediment sources are considered for the RGF: a) the Rio de  
117 la Plata River, which contributes with distal fine sediments of Andean Cordillera  
118 (Chemale Jr. et al., 2021), transported by contour currents existing in the north  
119 and south of the Pelotas Basin (Wilckens et al., 2021, Viana, 2001) b) and  
120 sediments from the Rio Grande do Sul and Uruguay rocks (crystalline basement  
121 and Phanerozoic sedimentary and volcanic rocks) (Martins et al., 1972; Urien et  
122 al., 1978; Zouain, 1986; Castillo-López, 2009).

123         The RGF shows a complex structural setting, concentrated in different  
124 domains, connected at the base by a detachment surface (Fontana, 1996, Abreu,  
125 1998, Zalan, 2005, Bueno et al, 2007, Rosa, 2007) (Fig 2A). The detachment  
126 surface triggered a gravitational collapse and consequently gravitational fold and  
127 thrust belt (GFTB) (Zalan, 2005). The distal structural domain is compressive and  
128 corresponds to this GFTB. The proximal domain is distensive and corresponds  
129 to normal listric faults (Fontana, 1996, Abreu, 1998, Zalan, 2005, Rosa, 2007).  
130 Additionally, these authors speculated about shale overpressure as the main  
131 mechanism responsible for nucleating a rupture along the detachment surface.



132 Figure 2. A) Interpreted seismic line in two-way time, where the colored lines

133 indicate stratigraphic surfaces described in Rosa (2007) and the red-black  
134 dashed line indicates the RGF basal detachment surface, modified from Rosa  
135 (2007). Above the basal surface, the RGF displays different structural domains,  
136 where the proximal portion corresponds to distensive listric faults. In contrast, the  
137 distal portion corresponds to compressive gravitational fold and thrust belt. B)  
138 The stratigraphic framework (according to Rosa, 2007), in depth, highlighting the  
139 detachment surface in the red dashed line. C) Main lithologies (according to  
140 Bueno et al., 2007), in depth, highlighting the detachment surface in the red  
141 dashed line.

142

### 143 3. Data and methods

144 This study is based on exploration data obtained from the National Agency  
145 for Petroleum, Natural Gas and Biofuels (ANP), comprising a multichannel  
146 reflection seismic section calibrated with well data (Fig. 1B). These data were  
147 analyzed through seismic interpretation, time-depth conversion, and  
148 overpressure modeling.

149

#### 150 3.1 Seismic data

151 The profile analyzed in this study crosses the central portion of the RGF in  
152 the dip direction NW-SE (Fig. 1B). The profile is 160300 m long and 11000 ms in  
153 two-way travel time (TWTT) (Fig. 2A). For this study, the seismic section  
154 interpretation followed the detailed stratigraphic framework of Rosa (2007) (Fig  
155 2B).

156

#### 157 3.2 Well data

158 This study used well data (Fig. 1B) for the time-depth conversion of the  
159 horizons present in the seismic section. The time-depth conversion required an  
160 interpreted seismic section that intersects an well (Well 1, Fig. 1B). These steps  
161 are detailed in subsequent items.

162

### 163 3.3 Time-depth conversion

164 The time-depth conversion of the interpreted seismic horizons considered  
165 the travel time equation of the vertically reflected seismic wave (Equation 1):

$$166 \text{ Depth} = (\text{average velocity}) \times (\text{two-way time}/2)$$

167 Where two-way time is the horizon time interpreted in the zero-offset  
168 section, and average velocity is the velocity profile obtained from Well 1 vertical  
169 seismic profile (VSP).

170

### 171 3.4 2D Basin modelling

172 The 2D basin modeling was executed in the TemisFlow Petroleum System  
173 Software (IFPen – French Institute of Petroleum, version 2019). The first step  
174 was to import the horizons (previously converted to depth). Afterward, each  
175 horizon received an age, following the stratigraphic framework of Rosa (2007)  
176 (Fig. 2B). After that each interval between the horizons received lithologies,  
177 according to Bueno et al. (2007) and Rosa (2007) (Figure 2C).

178 For overpressure modeling, variables such as porosity, permeability, and  
179 sedimentation rate were computed. The porosity and permeability variables used

180 in this modeling were extracted from the IFPen Lithology Library and the  
181 sedimentation rate variable was calculated by restoring past geometries.  
182 TemisFlow performs this function through the backstripping technique based on  
183 current geometry and lithofacies compaction laws.

184 The next step was parameterizing the temperature conditions. The  
185 computation of the temperature distribution resulting from the heat transfer  
186 through the sedimentary basin requires the definition of a thermal basement. The  
187 options available in TemisFlow for thermal regimes computation include  
188 geothermal gradients, heat flow at base sediments, heat flow at the base upper  
189 mantle. Based on available well data (Well 1, Fig. 1B), the model ran with  
190 20°C/km as thermal gradient and seafloor temperature varying from 6 °C (shallow  
191 water) to 3°C (deep water at 13600 m), according to Ketzer et al. (2020).

192

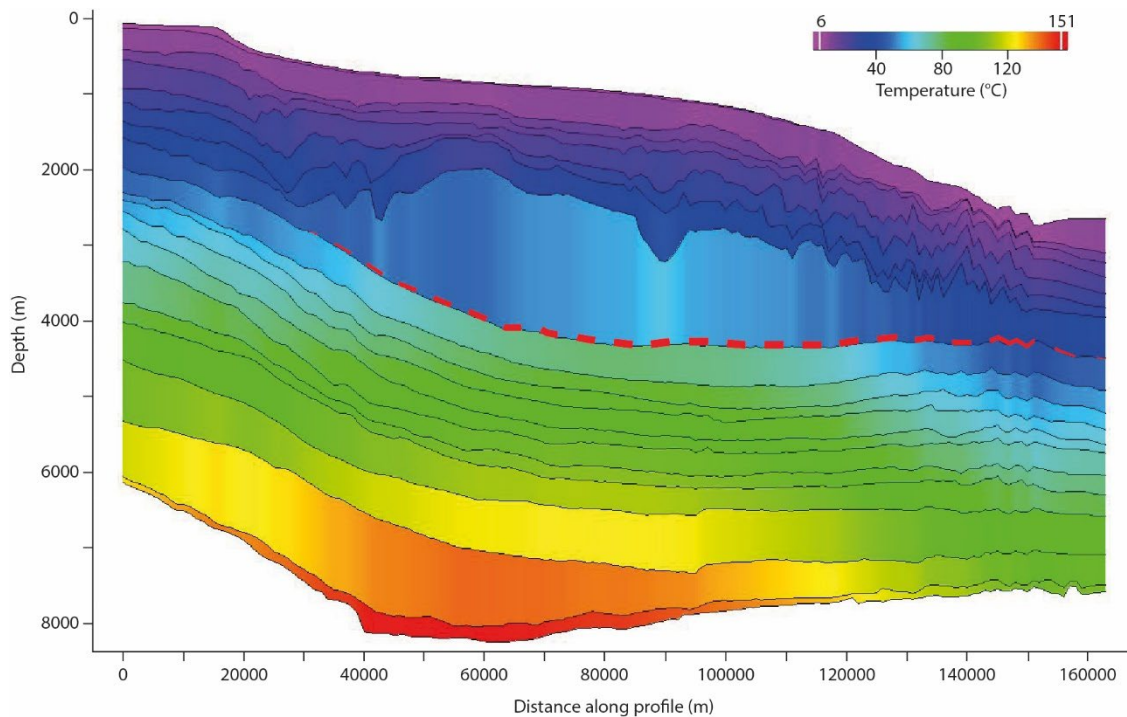
## 193 4. Results

### 194 4.1 2D basin modeling: temperature

195 The current temperature configuration ranges from 6°C to 151°C (Fig 3).  
196 The highest temperature occurs in the lower intervals and decreases towards the  
197 upper intervals. In general, this change occurs gradually.

198 However, this pattern is different in the central area of the model near the  
199 base of the RGF, at 3800 m depth. Around this area, the temperature changes  
200 abruptly. Below 3800 m depth in the section's central part, the temperature is  
201 nearly constant (ca. 90°C) since 5600 m depth. At 3800 m depth, a temperature

202 contrast of 100% occurs. The upper interval with a temperature of 50°C is in  
203 contact with the lower interval of 100°C.



204 Figure 3. Result of temperature modeling along a section at the present day. The  
205 detachment surface (red dashed line) coincides with a temperature contrast and  
206 interrupts the gradual upward reduction of temperature at the central portion of  
207 the section.

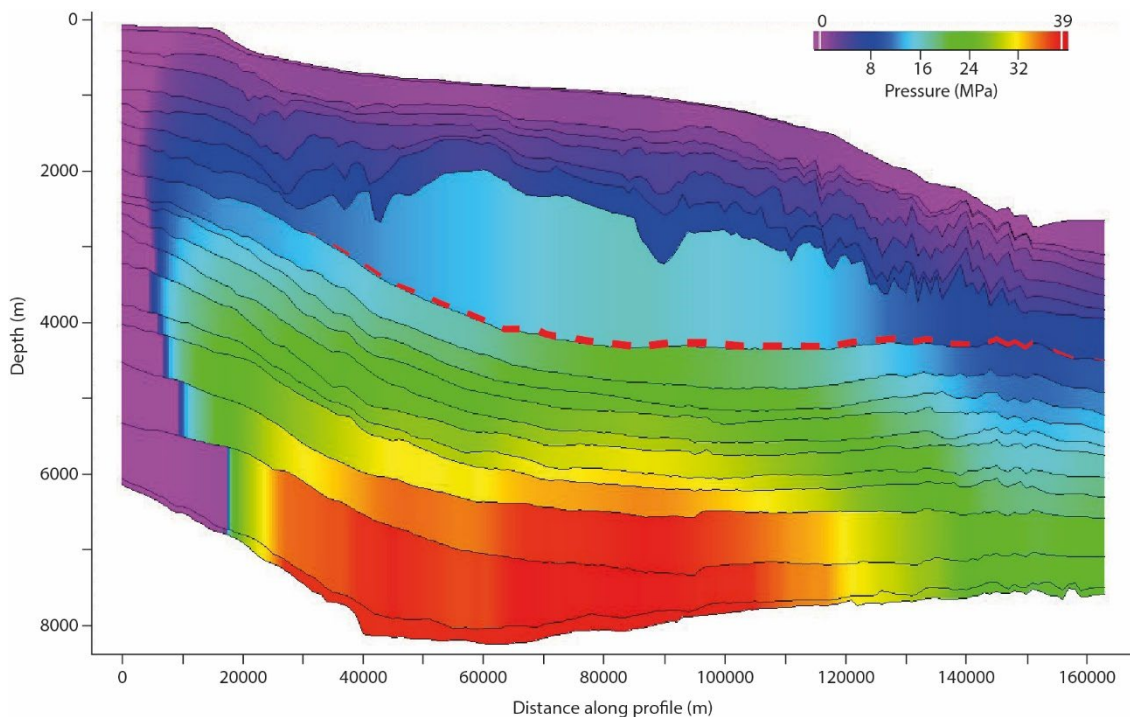
208

#### 209 4.2 2D basin modeling: overpressure

210 The current overpressure configuration ranges from 0 MPa to 39 MPa, and  
211 its variation distribution follows two patterns (Fig. 4): a) low overpressure occurs  
212 in the upper intervals and also in the proximal portion of the section (reaching up  
213 to 1000 m to 1700 m of distance from the beginning of the line) since the basal  
214 intervals. b) In the remaining section the higher overpressure occurs in the lower  
215 intervals and decreases towards the upper intervals. In general, this change  
216 occurs gradually.



217            However, this pattern is different in the central part of the model, at 3800  
218 m depth, just like the temperature. Around this area, between 60000 m and  
219 120000 m of distance from the beginning of the line, the overpressure changes  
220 abruptly. Below 3800 m in the section's central part, the overpressure is constant  
221 since 4800 m depth. At 3800 m, a pressure difference of 90% occurs, where the  
222 upper interval with a overpressure of 14 MPa is in contact with the lower interval  
223 with a overpressure of 26 MPa. Besides, at intermediate depth, a lateral  
224 temperature variation also occurs, with a higher value in the center.



225    Figure 4. Result of overpressure modeling along a section at the present day.  
226    The detachment surface marks a overpressure contrast and interrupts the  
227    gradual change to lower overpressure towards the upper intervals in the central  
228    portion of the section. Additionally, the lower overpressure occurs in the proximal  
229    portion of the section.

230

## 231    5. Discussion

## 232 5.1 Overpressure, detachment and gravitational collapse

233 Previous authors (Fontana, 1996, Abreu, 1998, Zalan, 2005, Rosa, 2007)  
234 described and documented the complex structural arrangement of the RGF  
235 resulting from gravitational collapse and its relation to a basal detachment  
236 surface. Additionally, these authors speculated about shale overpressured as the  
237 main mechanism responsible for nucleating a rupture along a detachment  
238 surface. This information is qualitatively supported by: *a)* lithology of the package,  
239 which is predominantly composed of shales and clays and *b)* high sedimentation  
240 rate. However, although the existence of such overpressure has been indicated  
241 with the evidence above, there is no well or modelling data to further support and  
242 quantify it.

243 The results of the 2D basin modeling of overpressure in the analyzed  
244 section consist of the first quantitative direct evidence of the overpressure  
245 occurrence in the RGF. The overpressure occurs from the base of the section  
246 and presents an abrupt change in overpressure values below and above the  
247 basal detachment surface (Fig. 4). Thus, the results presented in the modeling  
248 agree with previous speculations and confirm the existence of overpressure at  
249 the base of the RGF.

250 In addition to the two factors mentioned above (*a)* dominantly fine-grained  
251 sedimentary package and *b)* high sedimentation rate) that could contribute to the  
252 formation of overpressure, the hydrocarbon generation and smectite-illite  
253 transformation can also play an important role in creating fluid overpressure  
254 (Bruce, 1984, Souza et al., 2020). However, since this study followed the  
255 stratigraphic framework of Rosa (2007) (Fig. 2A) where faults do not directly

256 connect the Turonian source rock with deposits at the detachment surface, it is  
257 possible to assume that hydrocarbon generation and migration is not a major  
258 mechanism to overpressure development in the RGF. Regarding the smectite-  
259 illite transformation contribution, the temperature modeling results (Fig. 3) show  
260 that the section area close to the detachment reaches temperatures belonging to  
261 the transformation window, 70 – 150 °C (Bruce, 1984).

262 The modeling results associated with previous literature data allow delimit  
263 the RGF generating overpressure factors. Thus, there are three main causes for  
264 the overpressure and consequent detachment and gravitational collapse in the  
265 RGF: *a*) a considerable package of undercompacted shale (4300 m thick), *b*) high  
266 sedimentation rate, and *c*) smectite-illite transformation.

267 However, it is still possible to discuss the characterization of the  
268 detachment surface. The RGF overpressure detachment surface occurs above  
269 the zone of greatest overpressure reported at the base of the section. This occurs  
270 when the gravitational stress relief (which tends to occur where there sediment  
271 strength decreases, in this case due to overpressure) is sustained by lithostatic  
272 pressure. Thereby, the gravitational tension is released in the plane where the  
273 overpressure exceeds the lithostatic pressure, tending to occur upwards, as the  
274 lithostatic pressure decreases (Fossen, 2010). Additionally, the detachment  
275 occurs along a regional surface in the Pelotas Basin, which is related to  
276 allochthonous factors (Cassel et al, 2022).

277

278 5.2 Allochthonous and autochthonous factors

279 Mechanisms generating overpressure can be classified between  
280 allochthonous or autochthonous. The allochthonous mechanisms are related to  
281 external factors, whilst autochthonous are related to an increase in internal fluid  
282 content (Souza et al., 2020). Regional tectonics and undercompaction are  
283 examples of allochthonous factors, whereas clay transformation and hydrocarbon  
284 generations are examples of autochthonous factors.

285 In the case of RGF, this study and previous authors (Zalan, 2005, Rosa,  
286 2007) show three causes for overpressure: a) a huge package of  
287 undercompacted shale (4300 m thick), b) high sedimentation rate and c)  
288 smectite-illite transformation. The temperature modeling showed values within the  
289 smectite-illite transformation window, as discussed in the previous item. This  
290 factor, which causes an increase in the internal fluid content, is autochthonous  
291 and results from the thermal gradient in the case of RGF. The other two causing  
292 factors of overpressure are allochthonous. External controls are related to the  
293 deposition of a considerable shale package (4300 m thick), enhanced by the high  
294 sedimentation rate.

295 The origin of RGF deposition and the sedimentation pattern are associated  
296 with the Andean mountains uplift pulses, Drake Passage widening pulses, and  
297 consequent Antarctic Circumpolar Current (Cassel et al, 2022). This context led  
298 to the occurrence of a relevant basin unconformity which correspond to a regional  
299 surface in the Pelotas Basin, and influenced the RGF shale deposition enhanced  
300 by the high sedimentation rate. Since the detachment occurs along this regional  
301 surface these allochthonous controls influence not only the sedimentation pattern,  
302 but also the detachment surface. Consequently, the allochthonous factors for the

303 overpressure and consequent are triggered by regional events associated with  
304 Andean mountain uplift and Drake Passage widening.

305

## 306 6. Conclusion

307 For the first time, this study investigated the occurrence and main  
308 mechanisms of overpressure, which contributed to the gravitational collapse of  
309 the Rio Grande Submarine Fan. It was performed based on basin numerical  
310 modeling of temperature and overpressure, using exploration data obtained from  
311 the National Agency for Petroleum, Natural Gas and Biofuels (ANP), comprising  
312 a multichannel reflection seismic section, calibrated with well data.

313 The modeling results indicate that the overpressure in the RGF explains  
314 the detachment surface and gravitational collapse. The maximum overpressure  
315 value reaches 39 Mpa at the bottom and tends to decrease upwards. But at  
316 intermediate depths (3800 m), corresponding to the detachment surface, occurs  
317 an abrupt contrast of 90% of the value (from 26 Mpa to 14 Mpa). The temperature  
318 tends to vary gradually from 151 °C at the bottom to 6 °C at the top. But also in  
319 the detachment surface a 100% contrast change occurs in the values.

320 The modeling results previous literature delimit the RGF generating  
321 overpressure causes: *a)* a huge package of undercompacted shale (4300 m  
322 thick), *b)* high sedimentation rate, and *c)* smectite-illite transformation. These  
323 factors were classified between allochthonous (*a* and *b*) and autochthonous (*c*).  
324 The autochthonous one is controlled by temperature. The allochthonous are  
325 associated with the Andean mountain's uplift pulses and Drake Passage  
326 widening pulses.

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334

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## IV. Síntese integradora

### IV.1 Conclusões

Este estudo integrado multiescalar e multiproxy acerca de tectônica e sedimentação da Bacia de Pelotas apresentou suas conclusões em dois manuscritos. Cada manuscrito correspondeu ao objetivo geral de entender os controles regionais e suas implicações na deposição da bacia, na forma de objetivos específicos e resultados atingidos em diferentes escalas de trabalho, seguindo um fluxo de análise regional para local.

O Manuscrito 1, “*From the Andes and the Drake Passage to the Rio Grande Submarine Fan: paleoclimatic and paleogeographic evidence in the Cenozoic Era from the South Atlantic – Austral Segment, Pelotas Basin*”, identificou a influência da tectônica da Cordilheira Andina sobre a sedimentação da Bacia de Pelotas na forma de pulsos de soerguimento, bem como identificou a influência climática do alargamento da Passagem de Drake nos depósitos a partir do Oligo-Mioceno através do registro de correntes de contorno. Este estudo, aplicou em sua metodologia interpretação sísmica, backstripping e análise da taxa de espalhamento do Atlântico Sul, utilizando dados públicos de poços e seções sísmicas da Agência Nacional do Petróleo, Gás Natural e Biocombustíveis (ANP) e compilação de chrons presentes na literatura. A integração destas diferentes ferramentas e técnicas de análise com o conhecimento prévio da Bacia de Pelotas e da geologia regional do sul da América do Sul publicado por autores anteriores mostrou os efeitos que eventos tectônicos, climáticos e mudanças paleogeográficas deixaram no preenchimento da Bacia de Pelotas. A Bacia de Pelotas registrou três pulsos compressivos ocorridos nos Andes Centrais em torno de 50 Ma, 27 Ma e 2,5 Ma (Ypressiano, no Eoceno Inferior; Oligo-Mioceno e Plioceno) em resposta ao soerguimento do Platô Puna, Cordilheira Leste e Subandes respectivamente. Em um intervalo entre os dois primeiros

soerguimentos, por volta de 30 Ma, a bacia registrou uma inundaç o relacionada a desaceleraç o da Placa Sul Americana. Os Andes Meridionais, atrav s de pulsos extensionais, contribuíram para o alargamento da Passagem de Drake e isto tamb m deixou registros na Bacia de Pelotas. Em 34 Ma a bacia registrou o evento de glaciaç o decorrente da expans o da capa de gelo ant rtica, a qual foi associada a um dos pulsos de alargamento da Passagem de Drake e conseq ente intensificaç o da Corrente Circumpolar Ant rtica (CCA). J  em 20 Ma, em decorr ncia de outro pulso de alargamento da Passagem de Drake, a CCA impulsionou a intera o entre a Corrente das Malvinas e a Corrente do Brasil conduzindo   deposi o contorn tica na regi o do Cone de Rio Grande. Adicionalmente este estudo identificou a raiz destes eventos como conseq ncia da intera o entre as placas tect nicas Sul Americana, Ant rtica e Nazca.

No Manuscrito 2, *“Overpressure modelling in the Rio Grande Submarine Fan, Pelotas Basin: the case study of a gravitational fold and thrust belt in the South Atlantic Brazilian Margin”*, destaca-se a comprova o da exist ncia de sobrepress o no setor da Bacia de Pelotas onde est  localizada a feiç o denominada Cone de Rio Grande. A partir da discuss o regional da g nese desta feiç o no manuscrito anterior, este estudo analisa, caracteriza e discute aspectos espec ficos.   o caso da exist ncia de sobrepress o, a qual j  era especulada na literatura pr via ao explicar o arranjo estrutural complexo decorrente de colapso gravitacional sobre uma superf cie de descolamento. Este estudo aplicou em sua metodologia a modelagem 2D de bacia, utilizando horizontes interpretados em seç o s smica e dados de poço da Ag ncia Nacional do Petr leo, G s Natural e Biocombust veis (ANP), localizados na regi o do Cone de Rio Grande para modelar a sobrepress o e temperatura. Adicionalmente   comprova o e caracteriza o quantitativa in dita das dimens es e intensidade da sobrepress o, foram discutidas as suas causas e sua rela o com a superf cie de descolamento e conseq ente colapso gravitacional. A configura o

da temperatura e sobrepressão resultantes da modelagem variam de 6°C até 151°C e 0 MPa até 39 Mpa, com uma tendência geral de valores decrescentes para o topo, exceto próximo da zona de descolamento, onde ocorre diminuição abrupta nos valores. As causas da ocorrência de sobrepressão estão associadas a três principais fatores: a) alta taxa de sedimentação, b) espesso pacote de folhelhos subcompactados e c) transformação de esmectita em illita. Estes fatores foram classificados em alóctones (a e b) e autóctone (c).

#### IV.2 Impacto e possível continuidade

Os resultados alcançados na tese apresentam relevância multiescalar e contribuem com diferentes áreas de aplicação do conhecimento. O reconhecimento dos controles alóctones regionais possui impacto global, na medida em que trata de eventos tectônicos e climáticos com interferência no Atlântico Sul. Adicionalmente, contribui para o entendimento da dinâmica e evolução de margens passivas. A partir da dinâmica regional compreendida a tese também contribui com a identificação de causas e produtos de fenômenos internos à bacia. A investigação da sobrepressão é relevante para a exploração de hidrocarbonetos bem como para a predição de desastres naturais decorrentes de colapsos gravitacionais. O desenvolvimento da tese contribuiu com novos dados, resultados e discussões inéditas e aprofundou os estudos acerca do preenchimento e compartimentação estrutural da Bacia de Pelotas.

A continuidade deste trabalho está atrelada à disponibilidade de mais dados. A Bacia de Pelotas, ainda enquanto uma bacia de fronteira exploratória, conta com poucos dados se comparada às bacias marginais vizinhas. As pesquisas na Bacia de Pelotas desenvolvidas com dados públicos da ANP contam com um número reduzido de poços,

nenhum cubo sísmico, número reduzido de seções sísmicas, dados bioestratigráficos ausentes em alguns poços e gerados há duas décadas.

Neste sentido, salienta-se que a existência ou continuidade de um trabalho capaz de trazer avanços para a Bacia de Pelotas, bem como interpretações inovadoras e com impacto para a geologia regional, tal como fez a presente tese, está atrelada a geração de novos dados. Para tanto são necessários projetos robustos com o objetivo de enriquecer a base de dados multiescalar e multiferramental da Bacia de Pelotas.

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